

Operator 1: Public Land (Reserve Forest) Operators (5 Principles, 11 Criteria, 18 Indicators, 21 Verifiers)

PRINCIPAL	CRITERIA	Indicator	Verifier	Legal reference	Description of Verification Processes, frequency and responsibilities
(1) P1 Operator Legality (inc. Land)	C1.1: Operator's right to access land	I1.1.1: A person sourcing timber from Reserve Forest holds an RFD permit.	V1.1.1.1: An RFD Permit of Permission for Utilization of Reserve Forest or Use of Reserve Forest for Livelihood Purposes (Sor Tor Kor), or a Letter of Permission from either the RFD Director General or the Council of Ministers.	National Reserved Forest Act, 1964 Section 16, 16bis, 16 tres, 20	<p>V1.1.1.1 Verifier Issued by: Utilization or Use of Land for Livelihood Purposes issued by the Provincial Governor; Plantation permits issued by the DG of RFD.</p> <p>Verification Process Conducted by: Competence Officer (including Provincial Natural Resources and Environment Officer; Local Forest Resource Management Officer; RFD Officer).</p> <p>Verification Frequency: Per harvest.</p>
		<p>Description of Verification Process: The existence of a valid land use permit (held by the operator) is verified by a Competence Officer prior to the Competence Officer issuing the operator a Harvesting Permit. This process (of issuing a Harvesting Permit) also involves a visit by the Competence Officer to the timber harvesting area.</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Documentary review. 2. Survey of timber harvest area. 			
(1) P2 Sourcing	C2.2 Traceability	I2.2.1: An operator has	V2.2.1.1: Acknowledged	Plantation Act, 1992	V2.2.1.1

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and transportation of Timber	(plantation)	complied with Plantation Act procedures for harvesting timber on plantations.	Certificate from the Registrar of Plantations.	Section 11, 12	<p>Verifier Issued by: Registrar of Plantations.</p> <p>Verification Process Conducted by: Competence Officer (including Provincial Natural Resources and Environment Officer; Local Forest Resource Management Officer; RFD Officer).</p> <p>Verification Frequency: Ad hoc</p>
		<p>Description of Verification Process: When the Licensed Entrepreneur wishes to harvest, the Licensed Entrepreneur submits a Notification to Harvest to Competence Officer. The Registrar of Plantations issues an Acknowledged Certificate which permits the Licensed Entrepreneur to harvest and which the Licensed Entrepreneur must keep in order to show a Competence Officer in the event of an (ad hoc) investigation.</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Documentary review. 			
	C2.3 Traceability (non-plantation)	I2.3.1: An operator has complied with RFD procedures for the harvesting of trees.	V2.3.1.1: Harvesting Permit.	Forest Act, 1941 Section 12	V2.3.1.1 Verifier Issued by: Competence Officer (including Provincial Natural Resources and Environment Officer; Local Forest Resource Management Officer; RFD Officer).

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					<p>Verification Process Conducted by: Competence Officer (including Provincial Natural Resources and Environment Officer; Local Forest Resource Management Officer; RFD Officer).</p> <p>Verification Frequency: per harvest.</p>
		<p>Description of Verification Process: When the operator wishes to harvest timber, the operator applies for a Harvesting Permit from the RFD. Upon receipt of a Harvesting Permit, the operator may harvest the tree(s). Following the harvest and before the timber is removed from the place of harvest, the Competence Officer verifies the Harvesting Permit and the timber to which it applies, and places his/her Seal No. on the reverse side of the Harvesting Permit.</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Documentary review. 2. Inspection of timber harvest area. 			
(1) P3 Operator's Forest Management, Environment and Harvesting Obligations and Practices	C3.1: Right to Harvest (plantation)	I3.1.1: A person harvesting timber on a registered plantation holds a Registrar's Acknowledged Certificate.	See V2.2.1.1 under C2.2 Traceability (plantation) above	See V2.2.1.1 under C2.2 Traceability (plantation) above	See V2.2.1.1 under C2.2 Traceability (plantation) above
		<p>Description of Verification Process: See V2.2.1.1 under C2.2 Traceability (plantation) above</p>			

PRINCIPAL	CRITERIA	Indicator	Verifier	Legal reference	Description of Verification Processes, frequency and responsibilities
	C3.2 Right to Harvest Unrestricted Species (Non-plantation)	I3.2.1: A person harvesting an unrestricted species holds an RFD permit.	V3.2.1.1: An RFD Harvesting Permit.	National reserved forest Act, 1964 Section 15	<p>V3.2.1.1 Verifier Issued by: Provincial Governor</p> <p>Verification Process Conducted by: Competence Officer (including Provincial Natural Resources and Environment Officer; Local Forest Resource Management Officer; RFD Officer).</p> <p>Verification Frequency: per harvest.</p>
		<p>Description of Verification Process: When the operator wishes to harvest timber of an unrestricted species, the operator applies for a Harvesting Permit from the RFD, upon receipt of which, the operator may harvests the tree(s). Following the harvest and before the timber is removed from the place of harvest, the Competence Officer verifies the Harvesting Permit.</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Documentary review. 			
	C3.3: Right to Harvest Restricted Species (Non-plantation)	I3.3.1: A person harvesting a restricted species holds an RFD permit or Ministerial Authorisation.	<p>V3.3.1.1: For Category A species, an RFD Harvesting Permit.</p> <p>V3.3.1.2: For Category B species, a Ministerial Authorisation.</p>	<p>Forest Act, 1941 Section 6,7</p> <p>National reserved forest Act, 1964 Section 14,15</p>	<p>V3.3.1.1 Verifier Issued by: Provincial Governor</p> <p>Verification Process Conducted by: Competence Officer (including Provincial Natural Resources and</p>

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					<p>Environment Officer; Local Forest Resource Management Officer; RFD Officer).</p> <p>Verification Frequency: per harvest</p> <p>V3.3.1.2 Verifier Issued by: Minister of Natural Resources and Environment</p> <p>Verification Process Conducted by: Competence Officer (including Provincial Natural Resources and Environment Officer; Local Forest Resource Management Officer; RFD Officer).</p> <p>Verification Frequency: per harvest.</p> <p>Description of Verification Process: When the operator wishes to harvest timber of a restricted species, the operator applies for a Harvesting Permit from the RFD (for Category A species) or a Ministerial Authorisation (for Category B species), upon receipt of which, the operator may harvest the tree(s). Following the harvest and before the timber is removed from the place of harvest, the Competence Officer verifies the Harvesting Permit or Ministerial Authorisation (as appropriate).</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Documentary review.

PRINCIPAL	CRITERIA	Indicator	Verifier	Legal reference	Description of Verification Processes, frequency and responsibilities
		2. Inspection of harvest area.			
	C3.4 Chainsaw regulation	I3.4.1: A person possessing a chainsaw with a bar length of 12 inches or over has complied with RFD chainsaw licensing requirements.	V3.4.1.1: RFD Chainsaw License.	Chainsaw Act, 2002 Section 4, Ministerial regulation on characteristic of chainsaw and chainsaw composition, 2012 Clause 2,3 Ministerial Regulation to Determine Criteria, Method and Conditions for Asking Permission and Issuing the Permit for Possession, Manufacturing or Importing of Chainsaws and Modifying Chainsaws including Qualifications and Prohibitions of Licensee, 2008 Clause 11,12	V3.4.1.1 Verifier Issued by: Chainsaw Registrar (RFD) Verification Process Conducted by: Competence Officer (including Provincial Natural Resources and Environment Officer; Local Forest Resource Management Officer; RFD Officer, Chainsaw Registrar). Verification Frequency: Ad hoc checks.
		Description of Verification Process: An operator intending on purchasing a chainsaw with a bar-length of 12 inches or more must apply to the Chainsaw Registrar and be issued with a Letter of Permission prior to purchasing the chainsaw. After purchasing the chainsaw, the operator must take the chainsaw to the Chainsaw Registrar, who will (1) inscribe a serial number on the chainsaw, and (2) issue a permit to the operator which specifies the geographical area in which the chainsaw may be used. Competence Officers verify the licenses of chainsaw operators whenever they become aware of the use of chainsaws (including through hearing) within their jurisdiction, or the transport of chainsaws through their jurisdictions.			

PRINCIPAL	CRITERIA	Indicator	Verifier	Legal reference	Description of Verification Processes, frequency and responsibilities
		Verification means: <ol style="list-style-type: none"> 1. Field inspection. 2. Documentary review. 			
	C3.5 Ecosystem Management (inc. soil, watershed area etc.) for plantations	I3.5.1: An operator complies with RFD plantation reporting requirements.	V3.5.1.1: Plantation Performance Annual Report	Rule of RFD on permission for plantation in Reserve Forest, 2005 Clause 15	V3.5.1.1 Verifier Issued by: Operator Verification Process Conducted by: Competence Officer (including Provincial Natural Resources and Environment Officer; Local Forest Resource Management Officer; RFD Officer). Verification Frequency: Annually.
Description of Verification Process: Every year the Licensed Entrepreneur is obliged to prepare an Annual Performance Report and submit this to the RFD. A Competence Officer is required to review the Licensed Entrepreneur's Annual Performance Report and verify that the report meets the requirements. Upon verifying that the Annual Performance Report meets the requirements standards, the Competence Officer signs the report.					
Verification means: <ol style="list-style-type: none"> 1. Documentary review. 					
		I3.5.2: A plantation established on Reserve Forest land after 2005 has	V3.5.2.1: Ministerial Announcement	Rule of RFD on permission for plantation in Reserve Forest, 2005	V3.5.2.1 Verifier Issued by: Minister of Natural Resources and

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		been planted on land determined by the RFD to be Degraded Land in accordance with the requirements of the rule of RFD.		Clause 4,8, 9	<p>Environment</p> <p>Verification Process Conducted by: Competence Officer (including Provincial Natural Resources and Environment Officer; Local Forest Resource Management Officer; RFD Office)</p> <p>Verification Frequency: per term of lease</p>
		<p>Description of Verification Process: As part of the RFD's policy and annual planning activities, areas of Degraded Land are identified and declared by Ministerial Announcement. An operator may then apply for a permit to establish a plantation on an area of declared Degraded Land. Upon receiving an application from an operator, a Competence Officer will inspect the area to verify that the status of the land is Degraded as per the Ministerial Announcement. Upon the status of the land being verified as Degraded by the Competence Officer, the Competence Officer will prepare and submit a report (including geographic, environmental and social details of the area under application), to the Provincial Governor recommending that a permit be granted.</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Documentary review. 2. Field inspection. 			
		I3.5.3: As well as the requirements specified under I3.5.2 above, the application for a plantation	V3.5.3.1: Project documents including details of start time, objective, goal and costs.	Rule of RFD on permission for plantation in Reserve Forest, 2005 Clause 6	V3.5.3.1 Verifier Issued by: Operator Verification Process Conducted

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		of 101 Rai or more established on Reserve Forest land after 2005 will have included additional requirements outlined in the Rule of RFD.			<p>by: Competence Officer (including Provincial Natural Resources and Environment Officer; Local Forest Resource Management Officer; RFD Office)</p> <p>Verification Frequency: per application</p>
		<p>Description of Verification Process: In addition to the process outlined under I3.5.2 above, an operator applying for a permit over an area of Degraded Land of 101 Rai (about 16 hectares) or more, is required to submit project documents including details of start time, objective, goal and costs. The preparation and submission of these project documents to a suitable standard is verified by a Competence Officer.</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Documentary review. 			

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(1) P4 Operator's workplace and Social Obligations (including Worker's Rights, Health, Safety & Welfare)	C4.1 Worker's rights	I4.1.1: (For FIO plantations only) An agreement between employer and employees on employment conditions will be in force in accordance with the State Enterprise Labour Relations Act, and observe minimum conditions.	V4.1.1.1: Agreement	State Enterprise Labour Relations Act, 2000 Article 25 Announcement of State Enterprise Labour Relations on Commission's minimum standards of employment conditions in state enterprises. Article 53, 55, 58	V4.1.1.1 Verifier Issued by: Operator and Employees Verification Process Conducted by: State Labour Relations Committee Verification Frequency: Maximum three-yearly (with 12 months grace period in case employees and operator cannot agree on terms of employment).	
		Description of Verification Process: A state enterprise operator and employees of a state enterprise are required by the State Enterprise Labour Relations Act to agree on employment conditions (meeting minimum standards) at intervals of no more than three years (additional to which is a 12-months grace period in case employees and operator cannot agree on terms of employment). Once the state enterprise operator and the state enterprise employees have agreed on the conditions of employment, the State Labour Relations Committee verifies that the agreement meets the minimum standards specified in the Announcement of State Enterprise Labour Relations on Commission's minimum standards of employment conditions in state enterprises				
		Verification means: 1. Documentary review.				
		I4.1.2: (For non-FIO enterprises) An operator employing 10 or more employees will comply	V4.1.2.1: Working conditions and workplace annual report.	Ministerial Regulation on Labour Protection in Agriculture work, 2014 Clause 4	V4.1.2.1 Verifier Issued by: Operator Verification Process Conducted	

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		with the requirements of the Labour Protection Act.		Labour Protection Act, 1998 Section 112,113,114,115	by: Department of Labour Protection and Welfare Verification Frequency: Annually
		<p>Description of Verification Process: An operator employing 10 or more employees prepares a Working Conditions and Workplace Annual report and submits this electronically via the Department of Labour Protection and Welfare website. A Department of Labour Protection and Welfare Officer verifies that the report has been submitted.</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Documentary review. 			
		I4.1.3: (For non-FIO enterprises) A complaint against an operator (concerning an employee's claim to a sum of money) recorded by the Ministry of Labour will have been processed in accordance with the procedures outlined in the Labour Protection Act.	V4.1.3.1: Order issued by Inspector of Labour.	Ministerial Regulation on Labour Protection in Agriculture work, 2014 Clause 4 Labour Protection Act, 1998 123,124	V4.1.3.1 Verifier Issued by: Inspector of Labour Verification Process Conducted by: Inspector of Labour Verification Frequency: per complaint
		<p>Description of Verification Process: An employee making a complaint against an operator (concerning an employee's claim to a sum of money) submits the complaint to the Inspector of Labour. An Inspector of Labour Officer considers the complaint and may contact the employee and/or the operator requesting further information. The Inspector of Labour is required to issue an Order on the matter within 60 days (able to be extended until not more than 90 days) of receiving the complaint. (Note: The TLAS may need to verify that Inspector of Labour Orders have been issued within the set time-limits.)</p>			

PRINCIPAL	CRITERIA	Indicator	Verifier	Legal reference	Description of Verification Processes, frequency and responsibilities
		Verification means: <ol style="list-style-type: none"> 1. Documentary review. 2. Additional information as required. 			
		I4.1.4: A case lodged with the Labour Court concerning workplace conditions will have been processed in accordance with procedures outlined in the Labour Courts Act.	V4.1.4.1: Labour Court Decision.	The Establishment of Labour Court and Procedure Act, 1979 Section 8, 53	V4.1.4.1 Verifier Issued by: Labour Court Verification Process Conducted by: Labour Court Verification Frequency: per case
		<p>Description of Verification Process: A case lodged with the Labour Court concerning workplace conditions follows a prescribed course potentially leading to a trial, including procedures for promoting reconciliation at an early stage where possible and procedures for investigating a case before making a decision about whether the case will go to court. Various Sections of the Establishment of Labour Court and Procedure Act, 1979 (including Section 37 and Section 39) refer to the importance of cases being processed promptly, however few specific time-frames are stated. Nonetheless, the Labour Court provisions are a key component of the Thai legal framework regulating worker's rights.</p> <p>(Note: The TLAS may need to verify that Labour Court Decisions have been delivered within reasonable time-frames.)</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Documentary review. 2. Additional information as required. 			
C4.2 Child labour	I4.2.1: The operator	V4.2.1.1: Report of the	Labour Protection Act,	V4.2.1.1	

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		employs no children under the age of 15 years.	Inspector of Labour	1998 Section 44, 112	<p>Verifier Issued by: Inspector of Labour</p> <p>Verification Process Conducted by: Inspector of Labour</p> <p>Verification Frequency: Ad hoc (as determined by Department of Labour Protection and Welfare inspection schedule) or as per complaint.</p>
		<p>Description of Verification Process: The Inspector of Labour inspects a number of business every year, with the selection of target business based on size of the business, the type of business, and estimated risk of irregularities occurring. Additionally, the Inspector of Labour inspects businesses based on complaints received. Upon investigating a business, the Inspector of Labour prepares a report assessing and potentially verifying compliance with the provisions of the Labour Protection Act.</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Inspection on site. 2. Documentary review. 			
		I4.2.2: An operator employing children between the ages of 15 and 18 will have complied with Chapter 4 of the Labour Protection Act concerning the	V4.2.2.1: Letter of Acknowledgement from the Department of Labour Protection and Welfare.	Labour Protection Act, 1998 Section 45	<p>V4.2.2.1</p> <p>Verifier Issued by: Department of Labour Protection and Welfare</p> <p>Verification Process Conducted by: Inspector of Labour</p>

PRINCIPAL	CRITERIA	Indicator	Verifier	Legal reference	Description of Verification Processes, frequency and responsibilities
		employment of children under the age of 18.			<p>Verification Frequency: Ad hoc (as determined by Department of Labour Protection and Welfare inspection schedule) or as per complaint.</p> <p>Description of Verification Process: An operator employing children between the ages of 15 and 18 must notify the Department of Labour Protection and Welfare within 15 days after employment. In response to this notification by the operator, the Department of Labour Protection and Welfare must issues a Letter of Acknowledgement, which the operator must keep on-site.</p> <p>The Inspector of Labour inspects a number of business every year, with the selection of target business based on size of the business, the type of business, and estimated risk of irregularities occurring. Additionally, the Inspector of Labour inspects businesses based on complaints received. Upon investigating a business, the Inspector of Labour prepares a report assessing and potentially verifying compliance with the provisions of the Labour Protection Act.</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Inspection on site. 2. Documentary review.
(1) P5 Operator's Tax, Fee & Other Payment Obligations	C5.2 Operator's obligations: Forest sector royalties and other payments. (non-plantation)	I5.2.1 An operator has paid harvest royalties on harvested trees in accordance with RFD procedures.	V5.2.1.1: RFD receipt V5.2.1.2: RFD royalty collection records.	Forest Act, 1941 Section 14,15 Rule of Royal Forest Department laying down for checking, sealing, collection the royalty of timber, firewood or charcoal, 1967	V5.2.1.1 Verifier Issued by: Competence Officer (including Provincial Natural Resources and Environment Officer; Local Forest Resource Management Officer; RFD Office)

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				<p>Clause 14 National Reserved Forest Act, 1964 Section 5</p>	<p>Verification Process Conducted by: Competence Officer (including Provincial Natural Resources and Environment Officer; Local Forest Resource Management Officer; RFD Office)</p> <p>Verification Frequency: per harvest</p> <p>V5.2.1.2 Verifier Issued by: Competence Officer (including Provincial Natural Resources and Environment Officer; Local Forest Resource Management Officer; RFD Office)</p> <p>Verification Process Conducted by: Competence Officer (including Provincial Natural Resources and Environment Officer; Local Forest Resource Management Officer; RFD Office)</p> <p>Verification Frequency: per harvest</p>
<p>Description of Verification Process: For Restricted Species, the law specifies harvest royalties payable per volume of timber,</p>					

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		<p>and provides operators with the option of either paying the prescribed rate per volume before harvest, or double the prescribed rate per volume of <i>transformed timber</i> post-transformation.</p> <p>For Unrestricted Species, the prescribed rate must be paid <i>before</i> harvest.</p> <p>In all cases, a Competence Officer issues a receipt for harvest royalties received and records the payment.</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Documentary review. 			
		<p>I5.2.2 The operator has paid harvest permit fees in accordance with the fee rates outlined in the Forest Act.</p>	<p>V5.2.2.1: RFD receipt V5.2.2.2: RFD harvest fee collection records.</p>	<p>Forest Act, 1941 Section 25, 75 National Reserved Forest Act, 1964 Section 5 Ministerial Regulation No. 1,221 (1988) issued under National Reserved Forest Act, 1964</p>	<p>V5.2.2.1 Verifier Issued by: Competence Officer (including Provincial Natural Resources and Environment Officer; Local Forest Resource Management Officer; RFD Office)</p> <p>Verification Process Conducted by: Competence Officer (including Provincial Natural Resources and Environment Officer; Local Forest Resource Management Officer; RFD Office)</p> <p>Verification Frequency: per harvesting permit</p> <p>V5.2.2.2</p>

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					<p>Verifier Issued by: Competence Officer (including Provincial Natural Resources and Environment Officer; Local Forest Resource Management Officer; RFD Office)</p> <p>Verification Process Conducted by: Competence Officer (including Provincial Natural Resources and Environment Officer; Local Forest Resource Management Officer; RFD Office)</p> <p>Verification Frequency: per harvesting permit</p> <p>Description of Verification Process: The operator pays harvest permit fees when applying for a harvest permit. A Competence Officer issues a receipt for the harvest permit and records the payment.</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Documentary review.

Operator 2: Public Land (Other than Reserve Forest and National Park) Operators (5 Principles, 9 Criteria, 14 Indicators, 17 Verifiers)

PRINCIPAL	CRITERIA	Indicator	Verifier	Legal reference	Description of Verification Processes, frequency and responsibilities
(2) P1 Operator Legality (inc. Land)	C1.1: Operator's right to access land	I1.1.1: A person sourcing timber from public land (that is neither Reserve Forest or Protected Forest) holds permit documentation from government agency.	V1.1.1.1: Certificate of Permission for Possession of Land for Activity within Forest Area (under Section 54 of the Forest Act) and/or Certificate of Permission from other government agency	Forest Act, 1941 Section 54 Land for the Livelihood Act, 1968 Section 23 Agricultural Land Reform Act, 1975 Section 30 Ministerial Regulations on the criteria and processing for governing, maintaining, using, and providing the benefit of state land, 2002 Section 23	V1.1.1.1 Verifier Issued by: Certificate of Permission for Activity within Forest Area (Sor Por Kor) issued by Agricultural Land Reform Committee. Self-help Land Settlement permit issued by Director General of Department of Public Welfare. Land Leases and other reciprocal contracts (available only to Government Department) issued issued by Treasury Department of Kingdom of Thailand. Verification Process Conducted by: Competence Officer (including Provincial Natural Resources and Environment Officer; Local Forest Resource Management Officer; RFD Officer). Verification Frequency: Per harvest.
		Description of Verification Process: The existence of a valid land use permit (held by the operator) is verified by a			

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		<p>Competence Officer prior to the Competence Officer issuing the operator a Harvesting Permit. This process (of issuing a Harvesting Permit) also involves a visit by the Competence Officer to the timber harvesting area.</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Documentary review. 2. Survey of timber harvest area. 			
(2) P2 Sourcing and transportation of Timber	C2.2: Traceability (plantation)	I2.2.1: An operator has complied with Plantation Act procedures for harvesting timber on plantations.	V2.2.1.1: Acknowledged Certificate from the Registrar of Plantations.	Plantation Act, 1992 Section 11, 12	<p>V2.2.1.1</p> <p>Verifier Issued by: Registrar of Plantations</p> <p>Verification Process Conducted by: Competence Officer (including Provincial Natural Resources and Environment Officer; Local Forest Resource Management Officer; RFD Officer).</p> <p>Verification Frequency: Ad hoc</p>
		<p>Description of Verification Process: When the Licensed Entrepreneur wishes to harvest, the Licensed Entrepreneur submits a Notification to Harvest to the Competence Officer. The Registrar of Plantations issues an Acknowledged Certificate which permits the Licensed Entrepreneur to harvest, and which the Licensed Entrepreneur must keep in order to show a Competence Officer in the event of an (ad hoc) investigation.</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Documentary review. 			

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	C2.3 Traceability (non-plantation)	I2.3.1: An operator has complied with RFD procedures for the harvesting of Restricted Species.	V2.3.1.1: RFD Harvesting Permit.	Forest Act, 1941 Section 12	<p>V2.3.1.2 Verifier Issued by: Competence Officer (including Provincial Natural Resources and Environment Officer; Local Forest Resource Management Officer; RFD Officer).</p> <p>Verification Process Conducted by: Competence Officer (including Provincial Natural Resources and Environment Officer; Local Forest Resource Management Officer; RFD Officer).</p> <p>Verification Frequency: per harvest</p>
		<p>Description of Verification Process: When the operator wishes to harvest timber, the operator applies for a Harvesting Permit from the RFD. Upon receipt of a Harvesting Permit, the operator may harvest the tree(s). Following the harvest and before the timber is removed from the place of harvest, the Competence Officer verifies the Harvesting Permit and the timber to which it applies, and places his/her Seal No. on the reverse side of the Harvesting Permit.</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Documentary review. 2. Inspection of timber harvest area. 			
		I2.3.2 An operator has	V2.3.2.1: Letter of	Circular Notice of RFD, No.	V2.3.2.1

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		<p>complied with RFD procedures for the harvesting of Unrestricted Species.</p>	<p>Permission from the Provincial Governor</p>	<p>Gor Sor 0704.3/540 issuing on 23 January 2003</p>	<p>Verifier Issued by: Provincial Governor</p> <p>Verification Process Conducted by: Competence Officer (including Provincial Natural Resources and Environment Officer; Local Forest Resource Management Officer; RFD Officer).</p> <p>Verification Frequency: per harvest</p>
		<p>Description of Verification Process: An operator wishing to transport timber (round log) outside the public land where the timber was sourced must apply for a Letter of Permission from the Provincial Governor. At the first RFD station through which the timber is transported (at which the operator must also apply for a Transport Permit), a Competence Officer verifies the Letter of Permission.</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Documentary review 2. Inspection of timber. 			
(2) P3 Operator's Forest Management, Environment and Harvesting Obligations	C3.1: Right to Harvest (plantation)	I3.1.1: A person harvesting timber on a registered plantation holds an RFD Acknowledged Certificate.	See V2.2.1.1 under C2.2 Traceability (plantation) above	See V2.2.1.1 under C2.2 Traceability (plantation) above	See V2.2.1.1 under C2.2 Traceability (plantation) above
		<p>Description of Verification Process: See V2.2.1.1 under C2.2 Traceability (plantation) above</p>			

PRINCIPAL	CRITERIA	Indicator	Verifier	Legal reference	Description of Verification Processes, frequency and responsibilities
and Practices	C3.3: Right to Harvest Restricted Species (Non-plantation)	I3.3.1: A person harvesting a restricted species holds an RFD permit or Ministerial Authorisation.	V3.3.1.1: For Category A species, a RFD Harvesting Permit. V3.3.1.2: For Category B species, a Ministerial Authorisation.	Forest Act, 1941 Section 6, 7, 11	<p>V3.3.1.1 Verifier Issued by: Provincial Governor</p> <p>Verification Process Conducted by: Competence Officer (including Provincial Natural Resources and Environment Officer; Local Forest Resource Management Officer; RFD Officer).</p> <p>Verification Frequency: per harvest</p> <p>V3.3.1.2 Verifier Issued by: Minister of Natural Resources and Environment</p> <p>Verification Process Conducted by: Competence Officer (including Provincial Natural Resources and Environment Officer; Local Forest Resource Management Officer; RFD Officer).</p> <p>Verification Frequency: per harvest</p>

PRINCIPAL	CRITERIA	Indicator	Verifier	Legal reference	Description of Verification Processes, frequency and responsibilities
		<p>Description of Verification Process: When the operator wishes to harvest timber of a restricted species, the operator applies for a Harvesting Permit from the RFD (for Category A species) or a Ministerial Authorisation (for Category B species), upon receipt of which, the operator may harvests the tree(s). Following the harvest and before the timber is removed from the place of harvest, the Competence Officer verifies the Harvesting Permit or Ministerial Authorisation (as appropriate).</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Documentary review. 2. Inspection of harvest area. 			
	C3.4 Chainsaw regulation	I3.4.1: A person possessing a chainsaw with a bar length of 12 inches or over has complied with RFD chainsaw licensing requirements.	V3.4.1.1: RFD Chainsaw License.	<p>Chainsaw Act, 2002 Section 4, Ministerial regulation on characteristic of chainsaw and chainsaw composition, 2012 Clause 2,3 Ministerial Regulation to Determine Criteria, Method and Conditions for Asking Permission and Issuing the Permit for Possession, Manufacturing or Importing of Chainsaws and Modifying Chainsaws including Qualifications and Prohibitions of Licensee, 2008 Clause 11,12</p>	<p>V3.4.1.1 Verifier Issued by: Chainsaw Registrar (RFD)</p> <p>Verification Process Conducted by: Competence Officer (including Provincial Natural Resources and Environment Officer; Local Forest Resource Management Officer; RFD Officer, Chainsaw Registrar Official).</p> <p>Verification Frequency: Ad hoc checks</p>

PRINCIPAL	CRITERIA	Indicator	Verifier	Legal reference	Description of Verification Processes, frequency and responsibilities
		<p>Description of Verification Process: An operator intending on purchasing a chainsaw with a bar-length of 12 inches or more must apply to the Chainsaw Registrar and be issued with a Letter of Permission prior to purchasing the chainsaw. After purchasing the chainsaw, the operator must take the chainsaw to the Chainsaw Registrar, who will (1) inscribe a serial number on the chainsaw, and (2) issue a permit to the operator which specifies the geographical area in which the chainsaw may be used. Competence Officers verify the licenses of chainsaw operators whenever they become aware of the use of chainsaws (including through hearing) within their jurisdiction, or the transport of chainsaws through their jurisdictions.</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Field inspection. 2. Documentary review. 			
(2) P4 Operator's workplace and Social Obligations (including Worker's Rights, Health, Safety & Welfare)	C4.1 Worker's right	I4.1.1: An operator employing 10 or more employees will comply with the requirements of the Labour Protection Act.	V4.1.1.1: Working conditions and workplace annual report.	Ministerial Regulation on Labour Protection in Agriculture work, 2014 Clause 4 Labour Protection Act, 1998 Section 112,113,114,115	V4.1.1.1 Verifier Issued by: Operator Verification Process Conducted by: Department of Labour Protection and Welfare Verification Frequency: Annually
		<p>Description of Verification Process: An operator employing 10 or more employees prepares a Working Conditions and Workplace Annual report and submits this electronically via the Department of Labour Protection and Welfare website. A Department of Labour Protection and Welfare Officer verifies that the report has been submitted.</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Documentary review. 			
		I4.1.2: A complaint against	V4.1.2.1: Order issued by	Ministerial Regulation on	V4.1.2.1

PRINCIPAL	CRITERIA	Indicator	Verifier	Legal reference	Description of Verification Processes, frequency and responsibilities
		an operator (concerning an employee's claim to a sum of money) recorded by the Ministry of Labour will have been processed in accordance with the procedures outlined in the Labour Protection Act.	Inspector of Labour.	Labour Protection in Agriculture work, 2014 Clause 4 Labour Protection Act, 1998 123,124	<p>Verifier Issued by: Inspector of Labour</p> <p>Verification Process Conducted by: Inspector of Labour</p> <p>Verification Frequency: per complaint</p>
		<p>Description of Verification Process: An employee making a complaint against an operator (concerning an employee's claim to a sum of money) submits the complaint to the Inspector of Labour. An Inspector of Labour Officer considers the complaint and may contact the employee and/or the operator requesting further information. The Inspector of Labour is required to issue an Order on the matter within 60 days (able to be extended until not more than 90 days) of receiving the complaint. (Note: The TLAS may need to verify that Inspector of Labour Orders have been issued within the set time-limits.)</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Documentary review. 2. Additional information as required. 			
		I4.1.3: A case lodged with the Labour Court concerning workplace conditions will have been processed in accordance with procedures outlined in the Labour Courts Act.	V4.1.3.1: Labour Court Decision.	The Establishment of Labour Court and Procedure Act, 1979 Section 8, 53	<p>V4.1.3.1</p> <p>Verifier Issued by: Labour Court</p> <p>Verification Process Conducted by: Labour Court</p> <p>Verification Frequency: per case</p>
		Description of Verification Process: A case lodged with the Labour Court concerning workplace conditions follows a			

PRINCIPAL	CRITERIA	Indicator	Verifier	Legal reference	Description of Verification Processes, frequency and responsibilities
		<p>prescribed course potentially leading to a trial, including procedures for promoting reconciliation at an early stage where possible and procedures for investigating a case before making a decision about whether the case will go to court. Various Sections of the Establishment of Labour Court and Procedure Act, 1979 (including Section 37 and Section 39) refer to the importance of cases being processed promptly, however few specific time-frames are stated. Nonetheless, the Labour Court provisions are a key component of the Thai legal framework regulating worker's rights.</p> <p>(Note: The TLAS may need to verify that Labour Court Decisions have been delivered within reasonable time-frames.)</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Documentary review. 2. Additional information as required. 			
	C4.2 Child labour	I4.2.1: The operator employs no children under the age of 15 years.	V4.2.1.1: Report of the Inspector of Labour	Labour Protection Act, 1998 Section 44, 112	<p>V4.2.1.1 Verifier Issued by: Inspector of Labour</p> <p>Verification Process Conducted by: Inspector of Labour</p> <p>Verification Frequency: Ad hoc (as determined by Department of Labour Protection and Welfare inspection schedule) or as per complaint.</p>
	<p>Description of Verification Process: The Inspector of Labour inspects a number of business every year, with the selection of target business based on size of the business, the type of business, and estimated risk of irregularities occurring. Additionally, the Inspector of Labour inspects businesses based on complaints received. Upon investigating a business, the Inspector of</p>				

PRINCIPAL	CRITERIA	Indicator	Verifier	Legal reference	Description of Verification Processes, frequency and responsibilities
		<p>Labour prepares a report assessing and potentially verifying compliance with the provisions of the Labour Protection Act.</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Inspection on site. 2. Documentary review. 			
		<p>I4.2.2: An operator employing children between the ages of 15 and 18 will have complied with Chapter 4 of the Labour Protection Act concerning the employment of children under the age of 18.</p>	<p>V4.2.2.1: Letter of Acknowledgement from the Department of Labour Protection and Welfare.</p>	<p>Labour Protection Act, 1998 Section 45</p>	<p>V4.2.2.1 Verifier Issued by: Department of Labour Protection and Welfare</p> <p>Verification Process Conducted by: Inspector of Labour</p> <p>Verification Frequency: Ad hoc (as determined by Department of Labour Protection and Welfare inspection schedule) or as per complaint.</p>
		<p>Description of Verification Process: An operator employing children between the ages of 15 and 18 must notify the Department of Labour Protection and Welfare within 15 days after employment. In response to this notification by the operator, the Department of Labour Protection and Welfare must issues a Letter of Acknowledgement, which the operator must keep on-site.</p> <p>The Inspector of Labour inspects a number of business every year, with the selection of target business based on size of the business, the type of business, and estimated risk of irregularities occurring. Additionally, the Inspector of Labour inspects businesses based on complaints received. Upon investigating a business, the Inspector of Labour prepares a report assessing and potentially verifying compliance with the provisions of the Labour Protection Act.</p>			

PRINCIPAL	CRITERIA	Indicator	Verifier	Legal reference	Description of Verification Processes, frequency and responsibilities
		Verification means: <ol style="list-style-type: none"> 1. Inspection on site. 2. Documentary review. 			
(2) P5 Operator's Tax, Fee & Other Payment Obligations	C5.2 Operator's obligations: Forest sector royalties and other payments. (non-plantation)	I5.2.1: An operator has paid harvest royalties on harvested trees in accordance with RFD procedures.	V5.2.1.1: RFD receipt, V5.2.1.2: RFD royalty collection records.	Forest Act,1941 Section 14,15 Rule of Royal Forest Department laying down for checking, sealing, collection the royalty of timber, firewood or charcoal, 1967 Clause 14	V5.2.1.1 Verifier Issued by: Competence Officer (including Provincial Natural Resources and Environment Officer; Local Forest Resource Management Officer; RFD Office) Verification Process Conducted by: Competence Officer (including Provincial Natural Resources and Environment Officer; Local Forest Resource Management Officer; RFD Office) Verification Frequency: per harvest V5.2.1.2 Verifier Issued by: Competence Officer (including Provincial Natural Resources and Environment Officer; Local Forest Resource Management Officer;

PRINCIPAL	CRITERIA	Indicator	Verifier	Legal reference	Description of Verification Processes, frequency and responsibilities
					<p>RFD Office)</p> <p>Verification Process Conducted by: Competence Officer (including Provincial Natural Resources and Environment Officer; Local Forest Resource Management Officer; RFD Office)</p> <p>Verification Frequency: per harvest</p>
		<p>Description of Verification Process: For Restricted Species, the law specifies harvest royalties payable per volume of timber, and provides operators with the option of either paying the prescribed rate per volume before harvest, or double the prescribed rate per volume <i>of transformed timber</i> post-transformation. A Competence Officer issues a receipt for harvest royalties received and records the payment.</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Documentary review. 			
		I5.2.2: The operator has paid harvest permit fees in accordance with the fee rates outlined in the Forest Act.	V5.2.2.1: RFD receipt V5.2.2.2: RFD harvest fee collection records.	Forest Act,1941 Section 75	V5.2.2.1 Verifier Issued by: Competence Officer (including Provincial Natural Resources and Environment Officer; Local Forest Resource Management Officer; RFD Office)

PRINCIPAL	CRITERIA	Indicator	Verifier	Legal reference	Description of Verification Processes, frequency and responsibilities
					<p>Verification Process Conducted by: Competence Officer (including Provincial Natural Resources and Environment Officer; Local Forest Resource Management Officer; RFD Office)</p> <p>Verification Frequency: per harvesting permit</p> <p>V5.2.2.2</p> <p>Verifier Issued by: Competence Officer (including Provincial Natural Resources and Environment Officer; Local Forest Resource Management Officer; RFD Office)</p> <p>Verification Process Conducted by: Competence Officer (including Provincial Natural Resources and Environment Officer; Local Forest Resource Management Officer; RFD Office)</p> <p>Verification Frequency: per harvesting permit</p> <p>Description of Verification Process: The operator pays harvest permit fees when applying for a harvest permit. A Competence</p>

PRINCIPAL	CRITERIA	Indicator	Verifier	Legal reference	Description of Verification Processes, frequency and responsibilities
		<p>Officer issues a receipt for the harvest permit and records the payment.</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Documentary review. 			

Operator 3: Private Land Operators (5 Principles, 9 Criteria, 12 Indicators, 14 Verifiers)

PRINCIPAL	CRITERIA	Indicator	Verifier	Legal reference	Description of Verification Processes, frequency and responsibilities
(3) P1 Operator Legality (inc. Land)	C1.1: Operator's right to access land	I1.1.1: A person sourcing timber from private land holds a Title Deed or Possessor's Right, or a lease agreement.	V1.1.1.1: Title Deed (Chanote Teedin) or Possessor's Right (Nor Sor 3, Nor Sor 3 Gor, Nor Sor 3 Kho, Nor Sor 2), or lease agreement.	Land Code Civil and Commercial Code Book 3 Specific Contracts. Title 4 Hire of Property	<p>V1.1.1.1 Verifier Issued by: Title Deeds and Possessor's Rights issued by the Department of Land. Legal Lease Agreements issued by Lessors who possess Title Deeds or Possessor's Rights.</p> <p>Verification Process conducted by: Competence Officer (including Provincial Natural Resources and Environment Officer; Local Forest Resource Management Officer; RFD Officer).</p> <p>Verification Frequency: Per harvest.</p>
		<p>Description of Verification Process: The existence of a valid land use permit (held by the operator) is verified by a Competence Officer prior to the Competence Officer issuing the operator a Harvesting Permit. This process (of issuing a Harvesting Permit) also involves a visit by the Competence Officer to the timber harvesting area.</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Documentary review. 2. Survey of timber harvest area. 			
(3) P2 Sourcing	C2.2 Traceability	I2.2.1: An operator has	V2.2.1.1: Acknowledged	Plantation Act, 1992	V2.2.1.1

PRINCIPAL	CRITERIA	Indicator	Verifier	Legal reference	Description of Verification Processes, frequency and responsibilities
and transportation of Timber	(plantation)	complied with Plantation Act procedures for harvesting timber on plantations.	Certificate from the Registrar of Plantations.	Section 11,12	<p>Verifier Issued by: Registrar of Plantations</p> <p>Verification Process Conducted by: Competence Officer (including Provincial Natural Resources and Environment Officer; Local Forest Resource Management Officer; RFD Officer).</p> <p>Verification Frequency: Per harvest.</p>
		<p>Description of Verification Process: When the Licensed Entrepreneur wishes to harvest timber, the Licensed Entrepreneur submits a Notification to Harvest to the Registrar of Plantations. The Registrar of Plantations issues an Acknowledged Certificate which permits the Licensed Entrepreneur to harvest and which the Licensed Entrepreneur must keep in order to show a Competence Officer in the event of an (ad hoc) investigation.</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Documentary review. 			
	C2.3 Traceability (non-plantation)	I2.3.1: An operator has complied with RFD procedures for the harvesting of restricted species.	V2.3.1.1: Harvesting Permit.	Forest Act, 1941 Section 12	V2.3.1.1 Verifier Issued by: Competence Officer (including Provincial Natural Resources and Environment Officer; Local Forest Resource Management Officer; RFD Officer).

PRINCIPAL	CRITERIA	Indicator	Verifier	Legal reference	Description of Verification Processes, frequency and responsibilities
					<p>Verification Process Conducted by: Competence Officer (including Provincial Natural Resources and Environment Officer; Local Forest Resource Management Officer; RFD Officer).</p> <p>Verification Frequency: per harvest</p> <p>Description of Verification Process: When the operator wishes to harvest timber, the operator applies for a Harvesting Permit from the RFD. Upon receipt of a Harvesting Permit, the operator may harvest the tree(s). Following the harvest and before the timber is removed from the place of harvest, the Competence Officer verifies the Harvesting Permit and the timber to which it applies, and places his/her Seal No. on the reverse side of the Harvesting Permit.</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Documentary review. 2. Inspection of timber harvest area.
(3) P3 Operator's Forest Management, Environment and Harvesting Obligations and Practices	C3.1: Right to Harvest (plantation)	I3.1.1: A person harvesting timber on a registered plantation holds an RFD Acknowledged Certificate.	See V2.2.1.1 under C2.2 Traceability (plantation) above	See V2.2.1.1 under C2.2 Traceability (plantation) above	See V2.2.1.1 under C2.2 Traceability (plantation) above
		Description of Verification Process: See V2.2.1.1 under C2.2 Traceability (plantation) above			
	C3.2: Right to	I3.2.1:	V3.2.1.1:		V3.2.1.1

PRINCIPAL	CRITERIA	Indicator	Verifier	Legal reference	Description of Verification Processes, frequency and responsibilities
	Harvest Unrestricted Species (Non-plantation) ¹				Verifier Issued by: Verification Process Conducted by: Verification Frequency:
	Description of Verification Process:				
	C3.3: Right to Harvest Restricted Species (Non-plantation)	I3.3.1: A person harvesting a restricted species holds an RFD permit.	V3.3.1.1: RFD Harvesting Permit for Restricted Species on Private Land.	Forest Act, 1941 Section 6, 7, 11	V3.3.1.1 Verifier Issued by: Provincial Governor Verification Process Conducted by: Competence Officer (including Provincial Natural Resources and Environment Officer; Local Forest Resource Management Officer; RFD Officer). Verification Frequency: per harvest

¹ The absence of regulation for some species cultivated on private land is a traceability gap that prevents verification of legality. One option/observation was identified in relation to this Gap/Issues topic (see Table 1.4 below), notably that the introduction of a national verification system applying to all species would address this issue. This means removing the Plantation Act Annex to facilitate verification of *all plantation species*.

PRINCIPAL	CRITERIA	Indicator	Verifier	Legal reference	Description of Verification Processes, frequency and responsibilities
		<p>Description of Verification Process: When the operator wishes to harvest timber of a restricted species, the operator applies for a Harvesting Permit from the RFD, upon receipt of which, the operator may harvest the tree(s). Following the harvest and before the timber is removed from the place of harvest, the Competence Officer verifies the Harvesting Permit.</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Documentary review. 			
	<p>C3.4 Chainsaw regulation</p>	<p>I3.4.1: A person possessing a chainsaw with a bar length of 12 inches or over has complied with RFD chainsaw licensing requirements.</p>	<p>V3.4.1.1: RFD Chainsaw License.</p>	<p>Chainsaw Act, 2002 Section 4, Ministerial regulation on characteristic of chainsaw and chainsaw composition, 2012 Clause 2,3 Ministerial Regulation to Determine Criteria, Method and Conditions for Asking Permission and Issuing the Permit for Possession, Manufacturing or Importing of Chainsaws and Modifying Chainsaws including Qualifications and Prohibitions of Licensee, 2008 Clause 11,12</p>	<p>V3.4.1.1 Verifier Issued by: Chainsaw Registrar (RFD) Verification Process Conducted by: Competence Officer (including Provincial Natural Resources and Environment Officer; Local Forest Resource Management Officer; RFD Officer, Chainsaw Registrar Official). Verification Frequency: Ad hoc checks</p>

PRINCIPAL	CRITERIA	Indicator	Verifier	Legal reference	Description of Verification Processes, frequency and responsibilities
		<p>Description of Verification Process: An operator intending on purchasing a chainsaw with a bar-length of 12 inches or more must apply to the Chainsaw Registrar and be issued with a Letter of Permission prior to purchasing the chainsaw. After purchasing the chainsaw, the operator must take the chainsaw to the Chainsaw Registrar, who will (1) inscribe a serial number on the chainsaw, and (2) issue a permit to the operator which specifies the geographical area in which the chainsaw may be used. Competence Officers verify the licenses of chainsaw operators whenever they become aware of the use of chainsaws (including through hearing) within their jurisdiction, or the transport of chainsaws through their jurisdictions.</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Field inspection. 2. Documentary review. 			
(3) P4 Operator's workplace and Social Obligations (including Worker's Rights, Health, Safety & Welfare)	C4.1 Worker's rights	I4.1.1: An operator employing 10 or more employees will comply with the requirements of the Labour Protection Act.	V4.1.1.1: Working conditions and workplace annual report.	Ministerial Regulation on Labour Protection in Agriculture work, 2014 Clause 4 Labour Protection Act, 1998 Section 112,113,114,115	V4.1.1.1 Verifier Issued by: Operator Verification Process Conducted by: Department of Labour Protection and Welfare Verification Frequency: Annually
		<p>Description of Verification Process: An operator employing 10 or more employees prepares a Working Conditions and Workplace Annual report and submits this electronically via the Department of Labour Protection and Welfare website. A Department of Labour Protection and Welfare Officer verifies that the report has been submitted.</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Documentary review. 			
		I4.1.2: A complaint against	V4.1.2.1: Order issued by	Ministerial Regulation on	V4.1.2.1

PRINCIPAL	CRITERIA	Indicator	Verifier	Legal reference	Description of Verification Processes, frequency and responsibilities
		an operator (concerning an employee's claim to a sum of money) recorded by the Ministry of Labour will have been processed in accordance with the procedures outlined in the Labour Protection Act.	Inspector of Labour.	Labour Protection in Agriculture work, 2014 Clause 4 Labour Protection Act, 1998 123,124	<p>Verifier Issued by: Inspector of Labour</p> <p>Verification Process Conducted by: Inspector of Labour</p> <p>Verification Frequency: per complaint</p>
		<p>Description of Verification Process: An employee making a complaint against an operator (concerning an employee's claim to a sum of money) submits the complaint to the Inspector of Labour. An Inspector of Labour Officer considers the complaint and may contact the employee and/or the operator requesting further information. The Inspector of Labour is required to issue an Order on the matter within 60 days (able to be extended until not more than 90 days) of receiving the complaint. (Note: The TLAS may need to verify that Inspector of Labour Orders have been issued within the set time-limits.)</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Documentary review. 2. Additional information as required. 			
		I4.1.3: A case lodged with the Labour Court concerning workplace conditions will have been processed in accordance with procedures outlined in the Labour Courts Act.	V4.1.3.1: Labour Court Decision.	The Establishment of Labour Court and Procedure Act, 1979 Section 8, 53	<p>V4.1.3.1</p> <p>Verifier Issued by: Labour Court</p> <p>Verification Process Conducted by: Labour Court</p> <p>Verification Frequency: per case</p>
		Description of Verification Process: A case lodged with the Labour Court concerning workplace conditions follows a			

PRINCIPAL	CRITERIA	Indicator	Verifier	Legal reference	Description of Verification Processes, frequency and responsibilities
		<p>prescribed course potentially leading to a trial, including procedures for promoting reconciliation at an early stage where possible and procedures for investigating a case before making a decision about whether the case will go to court. Various Sections of the Establishment of Labour Court and Procedure Act, 1979 (including Section 37 and Section 39) refer to the importance of cases being processed promptly, however few specific time-frames are stated. Nonetheless, the Labour Court provisions are a key component of the Thai legal framework regulating worker's rights.</p> <p>(Note: The TLAS may need to verify that Labour Court Decisions have been delivered within reasonable time-frames.)</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Documentary review. 2. Additional information as required. 			
	C4.2 Child labour	I4.2.1: The operator employs no children under the age of 15 years.	V4.2.1.1: Report of the Inspector of Labour	Labour Protection Act, 1998 Section 44, 112	<p>V4.2.1.1 Verifier Issued by: Inspector of Labour</p> <p>Verification Process Conducted by: Inspector of Labour</p> <p>Verification Frequency: Ad hoc (as determined by Department of Labour Protection and Welfare inspection schedule) or as per complaint..</p>
	<p>Description of Verification Process: The Inspector of Labour inspects a number of business every year, with the selection of target business based on size of the business, the type of business, and estimated risk of irregularities occurring. Additionally, the Inspector of Labour inspects businesses based on complaints received. Upon investigating a business, the Inspector of</p>				

PRINCIPAL	CRITERIA	Indicator	Verifier	Legal reference	Description of Verification Processes, frequency and responsibilities
		Labour prepares a report assessing and potentially verifying compliance with the provisions of the Labour Protection Act.			
		Verification means: <ol style="list-style-type: none"> 1. Inspection on site. 2. Documentary review. 			
		I4.2.2: An operator employing children between the ages of 15 and 18 will have complied with Chapter 4 of the Labour Protection Act concerning the employment of children under the age of 18.	V4.2.2.1: Letter of Acknowledgement from the Department of Labour Protection and Welfare.	Labour Protection Act, 1998 Section 45	V4.2.2.1 Verifier Issued by: Department of Labour Protection and Welfare Verification Process Conducted by: Inspector of Labour Verification Frequency: Ad hoc (as determined by Department of Labour Protection and Welfare inspection schedule) or as per complaint.
Description of Verification Process: An operator employing children between the ages of 15 and 18 must notify the Department of Labour Protection and Welfare within 15 days after employment. In response to this notification by the operator, the Department of Labour Protection and Welfare must issues a Letter of Acknowledgement, which the operator must keep on-site.					
The Inspector of Labour inspects a number of business every year, with the selection of target business based on size of the business, the type of business, and estimated risk of irregularities occurring. Additionally, the Inspector of Labour inspects businesses based on complaints received. Upon investigating a business, the Inspector of Labour prepares a report assessing and potentially verifying compliance with the provisions of the Labour Protection Act.					

PRINCIPAL	CRITERIA	Indicator	Verifier	Legal reference	Description of Verification Processes, frequency and responsibilities
		Verification means: <ol style="list-style-type: none"> 1. Inspection on site. 2. Documentary review. 			

Operator 4: Imported Timber Operators (2 Principles, 2 Criteria, 2 Indicators, 2 Verifiers)

PRINCIPAL	CRITERIA	Indicator	Verifier	Legal reference	Description of Verification Processes, frequency and responsibilities
(4) P2 Sourcing and transportation of Timber	C2.1: Operator's right to import	I2.1.1: Any person importing timber and timber products can demonstrate legality in the country of harvest. ²	V2.1.1.1:		<p>V3.2.1.1</p> <p>Verifier Issued by:</p> <p>Verification Process Conducted by:</p> <p>Verification Frequency:</p>
		Description of Verification Process:			
(4) P5 Operator's Tax, Fee & Other Payment Obligations	C5.3 Operator's obligations: Non Forest sector taxes.	I5.3.1: An operator has paid tax on imported timber in accordance with the requirements of the Customs Act and the Customs Tariff Decree.	V5.3.1.1: Tax receipts	<p>Customs Act, 1926 Section 10</p> <p>The Customs Tariff Decree, 1987 Section 4</p>	<p>V5.3.1.1</p> <p>Verifier Issued by: Customs Department</p> <p>Verification Process Conducted by: Competence Officer (including Provincial Natural Resources and Environment Officer; Local Forest Resource Management Officer; RFD Officer).</p>

² As discussed at 28 Jan. 2016 Stakeholder sessions and in Gap/Issues paper, it is an EU expectation that this area will be strengthened. Accordingly, the original Verifier "Certificate of Origin (CO), Export Permit, or Seal (for logs) issued by Country of Origin" has been deleted in view of the need for a more robust process. Former legal references relating to COs, Export Permits and Seals, have also been removed.

					Verification Frequency: per shipment
		<p>Description of Verification Process: Prior to removing imported timber from the, an operator must pay the taxes, for which receipts are issued. These receipts are verified by the RFD station at the port, as the timber is removed from the port.</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Documentary review. 2. Inspection of shipment. 			

Operator 5: Processing and Production Operators (5 Principles, 11 Criteria, 17 Indicators, 19 Verifiers)

PRINCIPAL	CRITERIA	Indicator	Verifier	Legal reference	Description of Verification Processes, frequency and responsibilities	
(5) P1 Operator Legality (inc. Land)	C1.2: Operator's obligations in relation to possession, processing, and trade of timber.	I1.2.1: An operator engaged, on a registered plantation, in the transformation/manufacturing of timber sourced from that same plantation, holds a Registrar of Plantations Transformation/manufacturing of Timber permit.	V.1.2.1.1 Registrar of Plantations Transformation of Timber Permit and/or Plantations Manufacturing permit.	Plantation Act, 1992 Section 10, 10/1	<p>V1.2.1.1 Verifier Issued by: Registrar of Plantations</p> <p>Verification Process Conducted by: Competence Officer (including Provincial Natural Resources and Environment Officer; Local Forest Resource Management Officer; RFD Officer).</p> <p>Verification Frequency:³</p>	
		Description of Verification Process: ⁴				
		<p>Verification means:</p> <ol style="list-style-type: none"> 1. Documentary review [?]. 2. Inspection of processing facilities [?]. 				
		I1.2.2: An operator engaged in the transformation/manufacturing of any timber on land that is not part of a	V1.2.2.1: RFD Transformation of Timber permit and/or Manufacturing permit.	Forest Act, 1941 Section 48,50,51	V1.2.2.1 Verifier Issued by: In Bangkok Province, by the Director General of RFD. Outside of Bangkok, the Provincial Governor.	

³ Currently awaiting clarity (to be outlined in forthcoming Regulation on Criteria, Method and Condition for Issuing permit for Transformation of Plantation Timber)

⁴ The laws regulating Transformation of Timber permits are currently being drafted and the nature of the final process is unclear at the time of writing.

PRINCIPAL	CRITERIA	Indicator	Verifier	Legal reference	Description of Verification Processes, frequency and responsibilities
		registered plantation, or engaged in the transformation/manufacturing, on a registered plantation, of timber sourced from elsewhere (including from another plantation), holds an RFD permit for timber transformation/manufacturing.			<p>Verification Process Conducted by: Competence Officer (including Provincial Natural Resources and Environment Officer; Local Forest Resource Management Officer; RFD Office)</p> <p>Verification Frequency: Minimum Annually. upon renewal of permit).</p> <p>Description of Verification Process: In order to be issued with an RFD Transformation of Timber and /or Manufacturing permit , an operator must apply either to the Director General of RFD (if in Bangkok province) or to the Provincial Governor (if outside Bangkok). Permits must be renewed annually, and upon receiving an application for renewal, the Competence Officer will verify that the operator held a permit for the previous period. Competence Officers also verify that operators hold permits in the course of ad hoc visits by Competence Officers to timber transformation/manufacturing sites.</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Documentary review 2. Site visit.
(5) P2 Sourcing and transportation of Timber	C2.2 Traceability (plantation)	See I1.2.1 under C1.2 above	See I1.2.1 under C1.2 above	See I1.2.1 under C1.2 above	See I1.2.1 under C1.2 above
Description of Verification Process: See I1.2.1 under C1.2 above					

PRINCIPAL	CRITERIA	Indicator	Verifier	Legal reference	Description of Verification Processes, frequency and responsibilities
	C2.3 Traceability (non-plantation)	I2.3.1: An operator has complied with Ministerial Regulations, Rules and Announcements for preparing a Timber Register.	V2.3.1.1: Up-to-date Timber Register.	Ministerial Regulation No. 25 (1976) issued under Forest Act, 1941 Clause 6,7 Ministerial Regulation No. 27 (1987) issued under Forest Act, 1941 Clause 5.	V2.3.1.1 Verifier Issued by: Processing Operator Verification Process Conducted by: Competence Officer (including Provincial Natural Resources and Environment Officer; Local Forest Resource Management Officer; and RFD Officer). Verification Frequency: Minimum Annually (upon renewal of permit).
<p>Description of Verification Process: In order to comply with the requirements for maintaining a timber register, an operator must enter details of timber flow including volume of incoming shipments, volume of transformed timber, volume of timber held in stock, and volume of timber distributed elsewhere. The operator's timber register is verified by Competence Officers annually upon renewal of the operator's permit(s) for Timber Transformation/Manufacturing, and also verified in the course of ad hoc visits by Competence Officers to timber transformation/manufacturing sites.</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Documentary review 2. Site visit. 					

PRINCIPAL	CRITERIA	Indicator	Verifier	Legal reference	Description of Verification Processes, frequency and responsibilities
(5) P3 Operator's Forest Management, Environment and Harvesting Obligations and Practices	C3.4 Chainsaw regulation	I3.4.1: A person possessing a chainsaw with a bar length of 12 inches or over has complied with RFD chainsaw licensing requirements.	V3.4.1.1: RFD Chainsaw License.	Chainsaw Act, 2002 Section 4, Ministerial regulation on characteristic of chainsaw and chainsaw composition, 2012 Clause 2,3 Ministerial Regulation to Determine Criteria, Method and Conditions for Asking Permission and Issuing the Permit for Possession, Manufacturing or Importing of Chainsaws and Modifying Chainsaws including Qualifications and Prohibitions of Licensee, 2008 Clause 11,12	V3.4.1.1 Verifier Issued by: Chainsaw Registrar (RFD) Verification Process Conducted by: Competence Officer (including Provincial Natural Resources and Environment Officer; Local Forest Resource Management Officer; RFD Officer, Chainsaw Registrar). Verification Frequency: Ad hoc checks
		<p>Description of Verification Process: An operator intending on purchasing a chainsaw with a bar-length of 12 inches or more must apply to the Chainsaw Registrar and be issued with a Letter of Permission prior to purchasing the chainsaw. After purchasing the chainsaw, the operator must take the chainsaw to the Chainsaw Registrar, who will (1) inscribe a serial number on the chainsaw, and (2) issue a permit to the operator which specifies the geographical area in which the chainsaw may be used. Competence Officers verify the licenses of chainsaw operators whenever they become aware of the use of chainsaws (including through hearing) within their jurisdiction, or the transport of chainsaws through their jurisdictions.</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Field inspection. 			

PRINCIPAL	CRITERIA	Indicator	Verifier	Legal reference	Description of Verification Processes, frequency and responsibilities
		2. Documentary review.			
	C3.6 Hazardous Substances	I3.6.1: An operator who holds a Department of Industrial Works Hazardous Substance Possession permit has complied with the requirements of the Department of Industrial Works Hazardous Substance Handbook.	V3.6.1.1: Hazardous Substances Annual Report	Hazardous Substance Act, 1992 Section 16 Announcement of Ministry of Industry on Storage Hazardous Substance, 2008 Announcement of the Department of Industry Works on Storage Hazardous Substance Handbook, 2007	V3.6.1.1 Verifier Issued by: Operator Verification Process Conducted by: Department of Industrial Works (DIW) Officer Verification Frequency: Annually
		<p>Description of Verification Process: Operators in possession of a DIW Hazardous Substance Possession permit must submit to the DIW, electronically, an Annual Hazardous Substance report via the DIW website. The submission of the Annual Report is verified by a DIW Officer.</p> <p>Verification means:</p> <p>1. Documentary review</p>			
	C3.7 Effluent and Emission	I3.7.1: A factory licensed with the Ministry of Industry to produce more than 50 tonnes per day of paper, cardboard, or paper fibre used for the production of construction	V3.7.1.1: Six-monthly air and water pollution reports to the Department of Industry and Works.	Announcement of Ministry of Industry on preparing report on the type and amount of pollutants emitted from the plant, 2010 Clause 13	V3.7.1.1 Verifier Issued by: Operator Verification Process Conducted by: Department of Industrial Works (DIW) Officer

PRINCIPAL	CRITERIA	Indicator	Verifier	Legal reference	Description of Verification Processes, frequency and responsibilities
		materials, complies with Department of Industry and Works effluent and emissions regulations.			Verification Frequency: Six-monthly
		<p>Description of Verification Process: Operators licensed to produce more than 50 tonnes per day of paper, cardboard, or paper fibre must submit to the DIW, electronically, six-monthly air and water pollution reports via the DIW website. The receipt of these reports is verified by a DIW Officer.</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Documentary review. 			
		13.7.2: A factory licensed with the Ministry of Industry to produce more than 50 tonnes of pulp per day has complied with Office of Natural Resources and Environmental Policy and Planning (ONEP) Environmental Impact Assessment (EIA) requirements.	<p>V3.7.2.1: EIA prepared by a Licensed Firm.</p> <p>V3.7.2.2: Periodic monitoring report.</p>	<p>The Enhancement and Conservation of National Environmental Quality Act, 1992 Section 44 Announcement of Ministry of Natural Resources and Environment on setting the type and size of the project or activity must prepare a report on the environmental impact assessment regulations and procedures. And guidelines for the preparation of the</p>	<p>V3.7.2.1 Verifier Issued by: Licensed firm</p> <p>Verification Process Conducted by: Office of Natural Resources and Environmental Policy and Planning (ONEP)</p> <p>Verification Frequency: Prior to commencement of operation.</p> <p>V3.7.2.2 Verifier Issued by: Operator Verification Process Conducted by: Office of Natural Resources and Environmental Policy and</p>

PRINCIPAL	CRITERIA	Indicator	Verifier	Legal reference	Description of Verification Processes, frequency and responsibilities
				environmental impact assessment. Clause 4 Regulation No. 2 (1984) issued under the Enhancement and Conservation of National Environmental Quality Act, 1975 Clause 3,4	Planning (ONEP) Verification Frequency: Six monthly.
		<p>Description of Verification Process: In order for an Operator to be issued with a license to produce more than 50 tonnes of pulp per day, the operator must commission a Licensed Firm to prepare an EIA, and the EIA must be verified and approved by the Office of Natural Resources and Environmental Policy and Planning (ONEP) before the operator is permitted to produce more than 50 tonnes of pulp per day. At six-monthly intervals, the operator must also submit to ONEP, electronically, a periodic Monitoring Report via the ONEP website. This monitoring Report must be verified by an ONEP Officer.</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Documentary review 			
(5) P4 Operator's workplace and Social Obligations (including Worker's	C4.1 Worker's rights	I4.1.1 An operator who employs ten or more employees will have submitted work rules covering the areas specified in the Labour Protection Act, to the	V4.1.1.1: Letter of Acknowledgement from the Department of Labour Protection and Welfare.	Labour Protection Act, 1998 Section 108	V4.1.1.1 Verifier Issued by: Department of Labour Protection and Welfare Verification Process Conducted by: Department of Labour Protection and Welfare

PRINCIPAL	CRITERIA	Indicator	Verifier	Legal reference	Description of Verification Processes, frequency and responsibilities
Rights, Health, Safety & Welfare)		Department of Labour Protection and Welfare.			Verification Frequency: Per issue or variation of Work Rules.
		<p>Description of Verification Process: An operator employing ten or more employees must submit the work rules to the Department of Labour Protection and Welfare (DLPW). A DLPW Officer then verifies the work rules and issues a letter of Acknowledgement to the operator. Whenever the work rules are varied, the operator must forward the varied Work Rules to the DLPW for verification and acknowledgement.</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Documentary review 			
		I4.1.2: An operator employing 10 or more employees will possess a Register of employee particulars and documents on employment conditions as required under the Labour Protection Act.	V4.1.2.1: Working conditions and workplace annual report.	Labour Protection Act, 1998 Section 112,113,114,115	V4.1.2.1 Verifier Issued by: Operator Verification Process Conducted by: Department of Labour Protection and Welfare Verification Frequency: Annually
<p>Description of Verification Process: An operator employing 10 or more employees prepares a Working Conditions and Workplace Annual report and submits this electronically via the Department of Labour Protection and Welfare website. A Department of Labour Protection and Welfare Officer verifies that the report has been submitted.</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Documentary review. 					

PRINCIPAL	CRITERIA	Indicator	Verifier	Legal reference	Description of Verification Processes, frequency and responsibilities
		I4.1.3: A complaint against an operator (concerning an employee's claim to a sum of money) recorded by the Ministry of Labour will have been processed in accordance with the procedures outlined in the Labour Protection Act.	V4.1.3.1: Order issued by Inspector of Labour	Labour Protection Act, 1998 123,124	<p>V4.1.3.1 Verifier Issued by: Inspector of Labour</p> <p>Verification Process Conducted by: Inspector of Labour</p> <p>Verification Frequency: per complaint</p>
		<p>Description of Verification Process: An employee making a complaint against an operator (concerning an employee's claim to a sum of money) submits the complaint to the Inspector of Labour. An Inspector of Labour Officer considers the complaint and may contact the employee and/or the operator requesting further information. The Inspector of Labour is required to issue an Order on the matter within 60 days (able to be extended until not more than 90 days) of receiving the complaint. (Note: The TLAS may need to verify that Inspector of Labour Orders have been issued within the set time-limits.)</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Documentary review. 2. Additional information as required. 			
		I4.1.4: A case lodged with the Labour Court concerning workplace conditions will have been processed in accordance with procedures outlined in the Labour Courts Act.	V4.1.4.1: Labour Court Decision.	The Establishment of Labour Court and Procedure Act, 1979 Section 8, 53	<p>V4.1.4.1 Verifier Issued by: Labour Court</p> <p>Verification Process Conducted by: Labour Court</p> <p>Verification Frequency: per case</p>

PRINCIPAL	CRITERIA	Indicator	Verifier	Legal reference	Description of Verification Processes, frequency and responsibilities
		<p>Description of Verification Process: A case lodged with the Labour Court concerning workplace conditions follows a prescribed course potentially leading to a trial, including procedures for promoting reconciliation at an early stage where possible and procedures for investigating a case before making a decision about whether the case will go to court. Various Sections of the Establishment of Labour Court and Procedure Act, 1979 (including Section 37 and Section 39) refer to the importance of cases being processed promptly, however few specific time-frames are stated. Nonetheless, the Labour Court provisions are a key component of the Thai legal framework regulating worker's rights.</p> <p>(Note: The TLAS may need to verify that Labour Court Decisions have been delivered within reasonable time-frames.)</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Documentary review. 2. Additional information as required. 			
	C4.2 Child labour	I4.2.1: The operator employs no children under the age of 15 years.	V4.2.1.1: Report of the Inspector of Labour	Labour Protection Act, 1998 Section 44, 112	<p>V4.2.1.1 Verifier Issued by: Inspector of Labour</p> <p>Verification Process Conducted by: Inspector of Labour</p> <p>Verification Frequency: Ad hoc (as determined by Department of Labour Protection and Welfare inspection schedule) or as per complaint.</p>
		<p>Description of Verification Process: The Inspector of Labour inspects a number of business every year, with the selection of target business based on size of the business, the type of business, and estimated risk of irregularities occurring. Additionally,</p>			

PRINCIPAL	CRITERIA	Indicator	Verifier	Legal reference	Description of Verification Processes, frequency and responsibilities
		<p>the Inspector of Labour inspects businesses based on complaints received. Upon investigating a business, the Inspector of Labour prepares a report assessing and potentially verifying compliance with the provisions of the Labour Protection Act.</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Inspection on site. 2. Documentary review. 			
		<p>I4.2.2: An operator employing children between the ages of 15 and 18 will have complied with Chapter 4 of the Labour Protection Act concerning the employment of children under the age of 18.</p>	<p>V4.2.2.1: Letter of Acknowledgement from the Department of Labour Protection and Welfare.</p>	<p>Labour Protection Act, 1998 Section 45</p>	<p>V4.2.2.1 Verifier Issued by: Department of Labour Protection and Welfare</p> <p>Verification Process Conducted by: Inspector of Labour</p> <p>Verification Frequency: Ad hoc (as determined by Department of Labour Protection and Welfare inspection schedule) or as per complaint.</p>
		<p>Description of Verification Process: An operator employing children between the ages of 15 and 18 must notify the Department of Labour Protection and Welfare within 15 days after employment. In response to this notification by the operator, the Department of Labour Protection and Welfare must issues a Letter of Acknowledgement, which the operator must keep on-site.</p> <p>The Inspector of Labour inspects a number of business every year, with the selection of target business based on size of the business, the type of business, and estimated risk of irregularities occurring. Additionally, the Inspector of Labour inspects businesses based on complaints received. Upon investigating a business, the Inspector of Labour prepares a report assessing</p>			

PRINCIPAL	CRITERIA	Indicator	Verifier	Legal reference	Description of Verification Processes, frequency and responsibilities
		and potentially verifying compliance with the provisions of the Labour Protection Act.			
		Verification means: <ol style="list-style-type: none"> 1. Inspection on site. 2. Documentary review. 			
	C4.3 Alien workers	I4.3.1: An operator has complied with the requirements of the Alien Working Act.	V4.3.1.1: Work permit	Alien Working Act, 2008 Section 7,9,11	V4.3.1.1 Verifier Issued by: Alien Working Committee Verification Process Conducted by: Competence Officer empowered under the Alien Working Act Verification Frequency: Ad hoc.
		Description of Verification Process: An operator employing alien workers must ensure that the alien workers hold work permits. As outlined under C4.2 above, the Inspector of Labour is responsible for monitoring and regulating employment conditions. In the event of identifying or suspecting the illegal employment of alien workers, the Inspector of Labour notifies the Competence Officer, who is responsible for regulation and enforcement aspects. The Competence Officer may also act on a complaint received from any other source.			
		Verification means: <ol style="list-style-type: none"> 1. Site inspection. 2. Documentary review. 			
	C4.4 Workplace	I4.4.1: An operator will	V4.4.1.1: OSHE Document	Occupational Safety,	V4.4.1.1

PRINCIPAL	CRITERIA	Indicator	Verifier	Legal reference	Description of Verification Processes, frequency and responsibilities
	health and safety	have provided the Ministry of Labour with a Document or Report substantiating compliance with Occupational Safety, Health, and Environment (OSHE) Act requirements.	or Report signed by Licensed Person.	Health and Environment Act, 2011 Section 8	<p>Verifier Issued by: Operator responsible for preparing the OSHE report, which must be signed by a Licensed Person</p> <p>Verification Process Conducted by: Department of Labour Protection and Social Welfare</p> <p>Verification Frequency: Ad hoc</p> <p>Description of Verification Process: The operator is responsible for preparing an OSHE report, which must be signed by a Licensed Person, then submitted to the Department of Labour Protection and Social Welfare (DLPSW) for verification by a Department of Labour Protection and Social Welfare Officer.</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Documentary review.
(5) P5 Operator's Tax, Fee & Other Payment Obligations	C5.2 Operator's obligations: Forest sector royalties and other payments. (non-plantation)	I5.2.1: An operator has paid the annual fee for an RFD Transformation/manufacturing of Timber permit (as appropriate to class of permit) in accordance with the fee rates outlined in the Forest Act.	V5.2.1.1: RFD receipt V5.2.1.2: RFD Transformation of Timber permit records.	Forest Act, 1941 Section 75	<p>V5.2.1.1 Verifier Issued by: Competence Officer (including Provincial Natural Resources and Environment Officer; Local Forest Resource Management Officer; RFD Office)</p> <p>Verification Process Conducted by: Competence Officer (including Provincial Natural Resources and</p>

PRINCIPAL	CRITERIA	Indicator	Verifier	Legal reference	Description of Verification Processes, frequency and responsibilities
					<p>Environment Officer; Local Forest Resource Management Officer; RFD Office)</p> <p>Verification Frequency: Annually</p> <p>V5.2.1.2 Verifier Issued by: Competence Officer (including Provincial Natural Resources and Environment Officer; Local Forest Resource Management Officer; RFD Office)</p> <p>Verification Process Conducted by: Competence Officer (including Provincial Natural Resources and Environment Officer; Local Forest Resource Management Officer; RFD Office)</p> <p>Verification Frequency: Annually</p> <p>Description of Verification Process: The operator pays RFD Transformation of Timber permit and/or Manufacturing permit fees when applying for a RFD Transformation of Timber permit and/or Manufacturing permit. A Competence Officer issues a receipt for the RFD Transformation of Timber permit and/or Manufacturing permit and records the payment.</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Documentary review.

PRINCIPAL	CRITERIA	Indicator	Verifier	Legal reference	Description of Verification Processes, frequency and responsibilities

Operator 6: Transportation Operators (3 Principles, 4 Criteria, 5 Indicators, 6 Verifiers)

PRINCIPAL	CRITERIA	Indicator	Verifier	Legal reference	Description of Verification Processes, frequency and responsibilities
(6) P2 Sourcing and transportation of Timber	C2.2 Traceability (plantation)	I2.2.1 A person transporting timber outside of the plantation from which it was sourced has ensured that the timber is stamped with the seal of the Licensed Entrepreneur. The transport operator must also be in possession of the Plantation Timber Register which records the transfer of the timber to the transferee.	V2.2.1.1: Plantation Timber Register.	Plantation Act , 1992 Section 13, 21	<p>V2.2.1.1 Verifier Issued by: Operator (Licenced Entrepreneur)</p> <p>Verification Process Conducted by: Competence Officer (including Provincial Natural Resources and Environment Officer; Local Forest Resource Management Officer; RFD Officer).</p> <p>Verification Frequency: Per shipment</p>
	<p>Description of Verification Process: A transportation operator must ensure that plantation timber being transported has been stamped with the seal of the Licensed Entrepreneur from whom the timber was sourced. The transportation operator must also ensure that he or she has the Plantation Timber Register prepared by the Licensed Entrepreneur which records the transfer of the timber to the transferee. Competence Officer must verify this documentation at every RFD station through which the timber passes.</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Documentary review. 2. Inspection of shipment. 				
	C2.3 Traceability (non-plantation)	I2.3.1: A person transporting timber holds	V2.3.1.1: RFD For round logs, a Transportation	Forest Act, 1941 Section 38,39,40	V2.3.1.1 Verifier Issued by: In the case of

PRINCIPAL	CRITERIA	Indicator	Verifier	Legal reference	Description of Verification Processes, frequency and responsibilities
		transportation documentation.	Certificate. For transformed timber, a Confirmation Letter of Transformed Timber or Timber Products.	Ministerial Regulation No. 26 (1985) issued under the Forest Act, 1941 Clause 3 Ministerial Regulation No. 25 (1976) issued under Forest Act, 1941 Clause 8 Ministerial Regulation No. 27 (1987) issued under Forest Act, 1941 Clause 6	RFD Transportation Certificate, RFD Officer. In the case of Confirmation Letter of Transformed Timber or Timber Products, Processing Operator. Verification Process Conducted by: Competence Officer (including Provincial Natural Resources and Environment Officer; Local Forest Resource Management Officer; RFD Officer). Verification Frequency: per shipment
		<p>Description of Verification Process: An operator who wishes to transport timber must either apply for an RFD Transportation Certificate (in the case of round logs), or prepare a Confirmation Letter of Transformed Timber or Timber Products (in the case of transformed timber). A Competence Officer will verify the transportation documentation at every RFD station through which the timber passes.</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Documentary review. 2. Inspection of shipment. 			
(6) P4 Operator's workplace and Social	C4.5 Road safety	I4.5.1: The operator will hold a Transport Operator's License issued by the Department of Land	V4.5.1.1: Transport Operators License.	Land Transportation Act, 1979 Section 23	V4.5.1.1 Verifier Issued by: Department of Land Transport

PRINCIPAL	CRITERIA	Indicator	Verifier	Legal reference	Description of Verification Processes, frequency and responsibilities
Obligations (including Worker's Rights, Health, Safety & Welfare)		Transport.			<p>Verification Process Conducted by: Competence Officer (including Provincial Natural Resources and Environment Officer; Local Forest Resource Management Officer; RFD Officer; Traffic police)</p> <p>Verification Frequency: per shipment</p>
		<p>Description of Verification Process: A transport operator must hold a Department of Land Transport <i>Transport Operator's License</i>, and details of this license must be included in Transport Registers held by drivers which accompany all shipments and vehicle journeys. The existence of the operator's Transport Operator's license is verified by Competence Officer at all RFD stations through which timber shipments pass. Traffic Police also verify Transport Operator's licenses at all Traffic Police Stations, as well as on an ad hoc basis.</p> <p>Verification means:9</p> <ol style="list-style-type: none"> 1. Documentary review. 			
		I4.5.2: All personnel employed by the operator as drivers will hold licenses of the appropriate category.	V4.5.2.1: Driver's Licence	Land Transportation Act, 1979 Section 93, 94, 95	V4.5.2.1 Verifier Issued by: Department of Land Transport

PRINCIPAL	CRITERIA	Indicator	Verifier	Legal reference	Description of Verification Processes, frequency and responsibilities
					RFD Officer; Traffic police) Verification Frequency: Per shipment
		<p>Description of Verification Process: A transport operator must ensure that all drivers possess licenses of the appropriate category. Driver's licences are verified by Competence Officer at all RFD stations through which timber shipments pass. Traffic Police also verify driver's licenses at all Traffic Police Stations, as well as on an ad hoc basis.</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Documentary review. 			
(6) P5 Operator's Tax, Fee & Other Payment Obligations	C5.2 Operator's obligations: Forest sector royalties and other payments. (non-plantation)	I5.2.1: The operator has paid transportation permit fees in accordance with the fee rates outlined in the Forest Act.	V5.2.1.1: RFD receipt V5.2.1.2: RFD Transportation of Timber permit records.	Forest Act, 1941 Section 75	<p>V5.2.1.1 Verifier Issued by: Competence Officer (including Provincial Natural Resources and Environment Officer; Local Forest Resource Management Officer; RFD Officer).</p> <p>Verification Process Conducted by: Competence Officer (including Provincial Natural Resources and Environment Officer; Local Forest Resource Management Officer; RFD Officer).</p> <p>Verification Frequency: per</p>

PRINCIPAL	CRITERIA	Indicator	Verifier	Legal reference	Description of Verification Processes, frequency and responsibilities
					<p>permit</p> <p>5.2.1.2 Verifier Issued by: Competence Officer (including Provincial Natural Resources and Environment Officer; Local Forest Resource Management Officer; RFD Officer).</p> <p>Verification Process Conducted by: Competence Officer (including Provincial Natural Resources and Environment Officer; Local Forest Resource Management Officer; RFD Officer).</p> <p>Verification Frequency: per permit</p> <p>Description of Verification Process: An operator must pay a fee in order to be issued with a Transportation of Timber permit. This permit is only issued after Competence Officer receives the fee. The existence of the Transportation of Timber permit (therefore also verifying the payment of the related fee) is verified by Competence Officer at all RFD stations through which timber shipments pass.</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Documentary review.

Operator 7: Export and Trade Operators (3 Principles, 5 Criteria, 7 Indicators, 8 Verifiers)

PRINCIPAL	CRITERIA	Indicator	Verifier	Legal reference	Description of Verification Processes, frequency and responsibilities
(7) P1 Operator Legality (inc. Land)	C1.2: Operator's obligations in relation to possession, processing, and trade of timber.	I1.2.1: An operator engaged in the trading of transformed timber and/or products manufactured from timber holds all necessary RFD Trading Place Permits.	V1.2.1.1: For operators trading in transformed timber, an RFD Trading Place Permit for Transformed Timber. V1.2.1.2: For operators trading in products manufactured from timber, an RFD Trading Place Permit for Timber Products.	Forest Act, 1941 Section 48,53 bis, 53 tres Ministerial Regulation No. 25 (1976) issued under Forest Act, 1941 Clause 8 Ministerial Regulation No. 27 (1987) issued under Forest Act, 1941 Clause 6 Plantation Act, 1992 Section 10	V1.2.1.1 Verifier Issued by: In Bangkok Province, by the Director General of the RFD. Outside of Bangkok, the Provincial Governor. Verification Process Conducted by: Competence Officer (including Provincial Natural Resources and Environment Officer; Local Forest Resource Management Officer; RFD Office) Verification Frequency: Minimum Annually (upon renewal of permit). V1.2.1.2 Verifier Issued by: In Bangkok Province, by the Director General of the RFD. Outside of Bangkok, the Provincial Governor. Verification Process Conducted by: Competence Officer (including Provincial Natural Resources and Environment Officer; Local Forest

PRINCIPAL	CRITERIA	Indicator	Verifier	Legal reference	Description of Verification Processes, frequency and responsibilities
					Resource Management Officer; RFD Office) Verification Frequency: Minimum Annually (upon renewal of permit).
		<p>Description of Verification Process: In order to be issued with an RFD Trading Place Permit for Transformed Timber and /or an RFD Trading Place Permit for Products Manufactured from Timber, an operator must apply either to the Director General of RFD (if in Bangkok province) or to the Provincial Governor (if outside Bangkok). Permits must be renewed annually, and upon receiving an application for renewal, the Competence Officer will verify that the operator held a permit for the previous period. Competence Officers also verify that operators hold permits in the course of ad hoc visits by Competence Officers to trading place.</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Documentary review 2. Site visit. 			
		I1.2.2: A Licensed Trader has issued a Confirmation Letter for every shipment of timber and retained stub of same.	V1.2.2.1: Confirmation Letter stub. V1.2.2.2: Timber Register	Ministerial Regulation No. 25 (1976) issued under Forest Act, 1941 Clause 8 Ministerial Regulation No. 27 (1987) issued under Forest Act, 1941 Clause 6	V1.2.2.1 Verifier Issued by: Operator Verification Process Conducted by: Competence Officer (including Provincial Natural Resources and Environment Officer; Local Forest Resource Management Officer; RFD Office)

PRINCIPAL	CRITERIA	Indicator	Verifier	Legal reference	Description of Verification Processes, frequency and responsibilities
					<p>Verification Frequency: Minimum Annually (upon renewal of permit).</p> <p>V1.2.2.2 Verifier Issued by: Operator</p> <p>Verification Process Conducted by: Competence Officer (including Provincial Natural Resources and Environment Officer; Local Forest Resource Management Officer; RFD Office)</p> <p>Verification Frequency: Minimum Annually (upon renewal of permit).</p> <p>Description of Verification Process: In order to comply with the requirements for retaining Confirmation Letter Stubs, and maintaining a Timber Register, an operator must (1) retain all Confirmation Letter Stubs and (2) Enter into a Timber Register details of timber flow including volume of incoming shipments, volume of transformed timber, volume of timber held in stock, and volume of timber distributed elsewhere. The operator’s Confirmation Letter Stubs and Timber Register are verified by Competence Officers annually upon renewal of the operator’s RFD Trading Place Permit for Transformed Timber and /or RFD Trading Place Permit for Products Manufactured from Timber, and also verified in the course of ad hoc visits by Competence Officers to trading places.</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Documentary review 2. Site visit.

PRINCIPAL	CRITERIA	Indicator	Verifier	Legal reference	Description of Verification Processes, frequency and responsibilities
	<p>C1.3: Operator's right to export timber</p>	<p>I1.3.1: An operator exporting round logs, sawn timber or charcoal derived from any species holds a Department of Foreign Trade Export Permit.</p>	<p>V1.3.1.1: Department of Foreign Trade Export Permit</p>	<p>Announcement of Ministry of Commerce on the timber is permitted to export to outside the Kingdom, 2012 Clause 3,4 Announcement of Ministry of Commerce on the Charcoal is permitted to export to outside the Kingdom, 2006 Clause 4 Rule of Ministry of Commerce on the documentation and evidence to apply for charcoal exporting permit, 2006 Rule of Ministry of Commerce on criteria, methods and conditions for exporting of timber and transformed timber to outside the kingdom, 2006</p>	<p>V1.3.1.1 Verifier Issued by: Director General of Department of Foreign Trade.</p> <p>Verification Process Conducted by: Department of Foreign Trade Officer</p> <p>Verification Frequency: Per shipment.</p>

PRINCIPAL	CRITERIA	Indicator	Verifier	Legal reference	Description of Verification Processes, frequency and responsibilities
		<p>Description of Verification Process: In order to export round logs, sawn timber or charcoal derived from any species, an operator must apply to the Director General of Foreign Trade for a Department of Foreign Trade Export permit. The existence of this permit is verified by a Department of Foreign Trade Officer at the port.</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Documentary review. 			
(7) P2 Sourcing and transportation of Timber	C2.2 Traceability (plantation)	I2.2.1: An operator in possession of timber sourced from a plantation possesses evidence of legal acquisition.	V2.2.1.1: Plantation Register.	Plantation Act, 1992 Section 11, 15, 21	<p>V2.2.1.1</p> <p>Verifier Issued by: Licenced Entrepreneur (Plantation Operator)</p> <p>Verification Process Conducted by: Competence Officer (including Provincial Natural Resources and Environment Officer; Local Forest Resource Management Officer; RFD Officer).</p> <p>Verification Frequency: Per shipment</p>
		<p>Description of Verification Process: An operator in possession of timber sourced from a plantation must hold evidence of legal acquisition on the form of the Plantation Register of the Licensed Entrepreneur from whom the timber was sourced, and in which the transfer of the timber to the transferee is recorded. This documentation is verified at the RFD station at the port and at any other RFD stations through which the shipment passes.</p> <p>Description of Verification Process:</p>			

PRINCIPAL	CRITERIA	Indicator	Verifier	Legal reference	Description of Verification Processes, frequency and responsibilities
		<ol style="list-style-type: none"> 1. Documentary review. 2. Inspection of shipment. 			
	C2.3 Traceability (non-plantation)	I2.3.1: An operator has complied with Ministerial Regulations, Rules and Announcements for preparing a Timber Register.	V2.3.1.1: Up-to-date Timber Register.	Ministerial Regulation No. 25 (1976) issued under Forest Act, 1941 Clause 6, 7, 8 Ministerial Regulation No. 27 (1987) issued under Forest Act, 1941 Clause 6.	V2.3.1.1 Verifier Issued by: Export/Trade Operator. Verification Process Conducted by: Competence Officer (including Provincial Natural Resources and Environment Officer; Local Forest Resource Management Officer; RFD Officer). Verification Frequency: Annually (upon renewal of permit).
		<p>Description of Verification Process: In order to comply with the requirements for maintaining a timber register, an operator must enter details of transformed timber and/or products manufactured from timber flow including volume of incoming shipments, volume of timber held in stock, and volume of timber distributed elsewhere. The operator's timber register is verified by Competence Officers annually upon renewal of the operator's RFD Trading Place Permit for Transformed Timber and /or RFD Trading Place Permit for Products Manufactured from Timber, and also verified in the course of ad hoc visits by Competence Officers to timber transformation/manufacturing sites.</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Documentary review 2. Site visit. 			

PRINCIPAL	CRITERIA	Indicator	Verifier	Legal reference	Description of Verification Processes, frequency and responsibilities
(7) P5 Operator's Tax, Fee & Other Payment Obligations	C5.3 Operator's obligations: Non Forest sector taxes.	I5.3.1: An operator has paid tax on exported timber in accordance with the requirements of the Customs Act and the Customs Tariff Decree.	V5.3.1.1: Tax receipts	Customs Act, 1926 Section 10 The Customs Tariff Decree, 1987 Section 4	<p>V5.3.1.1 Verifier Issued by: Customs Department</p> <p>Verification Process Conducted by: Customs Department Officer</p> <p>Verification Frequency: per shipment</p>
		<p>Description of Verification Process: Prior to exporting a shipment of timber, an operator must pay export tax to the Customs Department, which issues a receipts. This receipt is verified by a Customs Department Officer, prior to the issuing of an Export Permit.</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Documentary review. 			