

Draft of Timber Legality Definition in Thailand

Issued on 29th May 2017



F A O F L E G T P R O G R A M M E





ECC005 Annex 6 LD Matrix

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Operator 1 (O1): Public Land (National Reserved Forest) Operators (6 Principles, 11 Criteria, 22 Indicators, 33 Verifiers)

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
(1) P1 Operator Legality (including Land)	O1-C1.1: Operator's right to access land	O1-I1.1.1: A person sourcing timber from National Reserved Forest holds an RFD Written Permit.	O1-V1.1.1.1: The forest or tree plantation Written Permit on National Reserved Forest land (P.S.31)	National Reserved Forestry Act, 1964 and Amended Section 16, 16 bis, 16 tres, 20	Verifier Issued by O1-V1.1.1.1: Utilization or Use of Land for Livelihood Purposes (P.S.31) issued by the DG of RFD.
			O1-V1.1.1.2: Utilizing or living Written Permit on National Reserved Forest land (P.S.23)		O1-V1.1.1.2: Utilizing or living Written Permit on Reserved Forest land (P.S.23) issued by the DG of RFD.
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PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
			DG or the Council of Ministers.		<p>Verification Frequency: At least once a year</p> <p>Description of Verification Process: The existence of a valid land use permit (held by the operator) is verified by a Competence Officer.</p> <p>Verification Means:</p> <ol style="list-style-type: none"> 1. Documentary review 2. Compliance with permission condition monitoring such as setting permanent signage, boundary lines, routes for monitoring plantation, and etc.
(1) P2 Sourcing and transportation of Timber	O1-C2.2 Traceability (registered forest plantation)	O1-I2.2.1: An operator has complied with Forest Plantation Act procedures for harvesting timber on plantations.	<p>O1-V2.2.1.1: Acknowledged Certificate from the Registrar of Plantations (Sor Por 13)</p> <p>O1-V2.2.1.2: Acknowledged Letter of Timber account from registered forest plantation (Sor Por 15)</p>	Forest Plantation Act, 1992 and amended Section 11, 12, 13	<p>Verifier Issued by</p> <p>O1-V2.2.1.1: Chief District Officers at local plantations are located or Director of Private Reforestation Division for Bangkok.</p> <p>O1-V2.2.1.2: Operator</p> <p>Verification Process Conducted by: Competence Officer (including Provincial Natural Resources and Environment Officer, Local Forest Resource Management</p>

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
					Officer, RFD Officer) Verification Frequency O1-V2.2.1.1: per cutting notification letter O1-V2.2.1.2: per shipment
		<p>Description of Verification Process: When the Licensed Entrepreneur wishes to harvest, s/he submits a Notification to Harvest to a Competence Officer. The Registrar of Plantations issues an Acknowledged Certificate and Licensed Entrepreneur must keep in order to show to a Competence Officer in the event of an (ad hoc) investigation. When there is a timber moving out of plantation, the operator must issue Acknowledged Letter of Timber account from registered forest plantation (Sor Por 15) and keep the original with the transported timber to show to the Competence Officer in a random investigation.</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Documentary review 			
		O1-I2.2.2 A person, transporting timber out of the plantation from which it was sourced, holds the evidence of rightful acquisition.	O1-V2.2.2.1: Acknowledged Certificate from the Registrar of Plantations (Sor Por 13) O1-V2.2.2.2: Acknowledged Letter of Timber account	Forest Plantation Act , 1992 Section 13, 21	Verifier Issued by O1-V2.2.2.1: Chief District Officers at local plantations are located or Director of Private Reforestation Division for Bangkok. O1-V2.2.2.2: Operator (Plantation doer)

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
			from registered forest plantation (Sor Por 15)		<p>Verification Process Conducted by: Competence Officer (including Provincial Natural Resources and Environment Officer, Local Forest Resource Management Officer, RFD Officer)</p> <p>Verification Frequency: per shipment</p> <p>Description of Verification Process: Competence Officer will randomly verify the timber shipment from registered forest plantation by considering the Acknowledged Certificate (Sor Por 13) along with the stamp, imprint, or seal showing its owner and the Acknowledged Letter of Timber account (Sor Por 15) recording the transfer of the timber to the transferee.</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Documentary review 2. Inspection of shipment
	O1-C2.3 Traceability (non-registered forest plantation)	O1-I2.3.1: An operator has logging Written Permit related laws and regulations	O1-V2.3.1.1: Teak logging in forest Written Permit (permit 1)	<p>Forestry Act, 1941 Section 11</p> <p>National Reserved Forestry Act, 1964 Section 15</p>	<p>Verifier Issued by O1-V2.3.1.1-3: Provincial Governor</p> <p>Verification Process Conducted by: Competence</p>

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
			<p>O1-V2.3.1.2: Restricted Timber logging except Teak in forest Written Permit (permit 2)</p> <p>O1-V2.3.1.3: Logging written permit in reserved forest (P.S.2)</p>		<p>Officer (including Provincial Natural Resources and Environment Officer, Local Forest Resource Management Officer, RFD Officer).</p> <p>Verification Frequency: per harvest</p>
		<p>Description of Verification Process: When the operator wishes to harvest timber, s/he applies for a Logging Permit from the RFD. Then, the operator may harvest the tree(s). Following the harvest and before the timber is removed from the place of harvest, The operator must inform the Competence Officer to verify the Logging Permit and the timber.</p> <p>Verification Means:</p> <ol style="list-style-type: none"> 1. Documentary review 2. Inspection of falling area 3. Inspection of species, size, and quantity of timber 			
		O1-I2.3.2: A person transporting timber holds transportation documentation.	O1-V2.3.2.1: An RFD Transportation Certificate for round logs and transformed timber, or a Confirmation Letter of	<p>Forestry Act, 1941 Section 38,39,40, 53 tres</p> <p>Ministerial Regulation No. 25 (1976) issued under Forestry Act,</p>	<p>Verifier Issued by O6-V2.3.2.1: In the case of RFD Transportation Certificate, RFD Officer. In the case of a Confirmation Letter of Transformed</p>

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
			Transformed Timber or Timber Products/ a Confirmation Letter of Transformed Rubber Timber or Timber Products	<p>1941 on transformed process and having transformed timber Clause 8 Ministerial Regulation No. 26 (1985) issued under the Forestry Act, 1941 on moving of timber or forestry products, Clause 2,5 Ministerial Regulation No. 27 (1987) issued under Forestry Act, 1941 on trade or possession for commercial purposes, product, or any of those made from restricted species. Clause 6</p> <p>Regulation no. 11, 1972, issued under Forestry Act, 1941 on the control of the</p>	<p>Timber or Timber Products/ a Confirmation Letter of Transformed Rubber Timber or Timber Products, Processing Operator</p> <p>Verification Process Conducted by: Competence Officer (including Provincial Natural Resources and Environment Officer, Local Forest Resource Management Officer, RFD Officer).</p> <p>Verification Frequency: per shipment</p>

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
				sawmills, using wood processing machinery Clause 9	
		<p>Description of Verification Process: An operator who wishes to transport timber must either apply for an RFD Transportation Certificate or prepare a Confirmation Letter of Transformed Timber or Timber Products/ a Confirmation Letter of Transformed Rubber Timber or Timber Products. A Competence Officer will verify the transportation documentation at every RFD checkpoint through which the timber passes.</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Documentary review 2. Inspection of shipment 3. 			
(1) P3 Operator's Forest Management, Harvesting Obligations and Practices	O1-C3.1: Right to Harvest (registered forest plantation)	O1-I3.1.1: A person harvesting timber on a registered plantation holds a Registrar's Acknowledged Certificate.	O1-V3.1.1.1: Acknowledged Certificate from the Registrar of Plantations (Sor Por 13)	Forest Plantation Act, 1992 and amended Section 11, 12	<p>Verifier Issued by O1-V3.1.1.1: Registrar of Plantations</p> <p>Verification Process Conducted by: Competence Officer (including Provincial Natural Resources and Environment Officer, Local Forest Resource Management Officer, RFD Officer)</p>

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
					<p>Verification Frequency: per cutting notification letter</p> <p>Description of Verification Process: When the Licensed Entrepreneur wishes to harvest, s/he submits a Notification to Harvest to Competence Officer. The Registrar of Plantations issues an Acknowledged Certificate and the Licensed Entrepreneur must keep in order to show to a Competence Officer in the event of an (ad hoc) investigation.</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Documentary review
		O1-C3.2 Right to Harvest Unrestricted Species (non-registered forest plantation)	O1-I3.2.1: A person harvesting an unrestricted species holds an RFD Logging permit.	O1-V3.2.1.1: An RFD Logging Permit	National Reserved Forest Act, 1964 Section 15

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
		<p>Description of Verification Process: When the operator wishes to harvest timber of an unrestricted species, the operator applies for a Logging Permit from the RFD, upon receipt of which, the operator may harvests the tree(s). Following the harvest and before the timber is removed from the place of harvest, the operator must inform the Competence Officer to verify the Logging Permit.</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Documentary review 2. Inspection of harvest area 3. Inspection of species, size, and quantity of timber 			
	O1-C3.3: Right to Harvest Restricted Species (non-plantation)	O1-I3.3.1: A person harvesting a restricted timber type A holds an RFD permit or a restricted timber type B holds a Ministerial Authorization.	<p>O1-V3.3.1.1: For Category A species, an RFD Harvesting Permit.</p> <p>O1-V3.3.1.2: For Category B species, a Ministerial Authorization.</p>	<p>Forestry Act, 1941 Section 6,7,11</p> <p>National Reserved Forest Act, 1964 Section 15</p>	<p>Verifier Issued by</p> <p>O1-V3.3.1.1: Provincial Governor</p> <p>O1-V3.3.1.2: Minister of Natural Resources and Environment</p> <p>Verification Process Conducted by: Competence Officer (including Provincial Natural Resources and Environment Officer; Local Forest Resource Management Officer; RFD Officer)</p>

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
					<p>Verification Frequency: per harvest.</p> <p>Description of Verification Process: When the operator wishes to harvest timber of a restricted species, s/he applies for a Harvesting Permit from the RFD (for Category A species) or a Ministerial Authorization (for Category B species), upon receipt of which, the operator may harvest the tree(s). Following the harvest and before the timber is removed from the place of harvest, the operator must inform the Competence Officer to verify the Logging Permit or Ministerial Authorization (as appropriate).</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Documentary review 2. Inspection of harvest area 3. Inspection of species, size, and quantity of timber
	O1-C3.4 Chainsaw regulation	O1-I3.4.1: An operator has a chainsaw possessing permit and chainsaw utilizing permit by law	<p>O1-V3.4.1.1: Chainsaw possessing permit (Lor Zor 3)</p> <p>O1-V3.4.1.2: Chainsaw importing for trade permit (Lor Zor 7) combined with accounts and records that show units manufactured under</p>	<p>Chainsaw Act, 2002 Section 4, Ministerial regulation on characteristic of chainsaw and chainsaw composition, 2012 Clause 2</p> <p>Ministerial regulation on principle, method,</p>	<p>Verifier Issued by O1-V3.4.1.1-2: Chainsaw Registrar (DG of RFD or a person assigned by DG in Bangkok and Provincial Governor or a person assigned by Provincial Governor in other provinces.)</p>

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
			attached record Lor Zor 7/1, record Lor Zor 7/1 A, record Lor Zor 7/2 and record Lor Zor 7/2 C	and condition of possessing, producing, or importing chainsaw permission and license, and adjusting chainsaw to increase its power including the qualification and disqualification of applicant, 2008, Clause 1,2,5	<p>Verification Process Conducted by: Competence Officer under Electrical Saw Act, 2002 (RFD, DNP, DMCR, Ministry of Interior, Ministry of Defense, Royal Thai Police, Bangkok Metropolitan Administration, etc.)</p> <p>Verification Frequency: A authorized Competence Officer can verify chainsaw permit anytime.</p>
<p>Description of Verification Process:</p> <ol style="list-style-type: none"> 1. Verify the mark on chainsaw which must be the same as permit 2. Verify the number on chainsaw which must be the same as permit 3. Verify the area being used chainsaw which must be in the area which is identified on permit 4. Verify the duplicate of permit whether has possessing chainsaw permit <p>Verification Means:</p> <ol style="list-style-type: none"> 1. Chainsaw inspection 2. Documentary review 					

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
(1) P4 Operator's workplace and Social Obligations (including Worker's Rights, Health, Safety & Welfare)	O1-C4.1 Worker's rights	O1-I4.1.1: For FIO plantations (only), an agreement between FIO and employees	O1-V4.1.1.1: Employment document	The Criteria and conditions of FIO plantation employment	<p>Verifier Issued by O1-V4.1.1.1: FIO Production unit</p> <p>Verification Process Conducted by: FIO's Internal Audit Office</p> <p>Verification Frequency: Annually</p>
		<p>Description of Verification Process: A state enterprise operator and employees of a state enterprise are required by the State Enterprise Labour Relations Act, 2000 to agree on employment conditions (meet minimum standards) at intervals of no more than three years (in addition to which is a 12-month grace period in case employees and operator donot agree on terms of employment). Once the state enterprise operator and the state enterprise employees have agreed on the conditions of employment, the State Labour Relations Committee verifies that the agreement meets the minimum standards specified in the Announcement of State Enterprise Labour Relations on Commission's minimum standards of employment conditions in state enterprises.</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Documentary review 			

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
		O1-I4.1.2: (For non-FIO enterprises) An operator employing 10 employees or more will comply with the requirements of the Labour Protection Act.	O1-V4.1.2.1: Working conditions and workplace annual report	Ministerial Regulation on Labour Protection in Agricultural work, 2014 Clause 4 Labour Protection Act, 1998 Section 112,113,114,115	Verifier Issued by O1-V4.1.2.1: Operator Verification Process Conducted by: Department of Labour Protection and Welfare Verification Frequency: Annually
<p>Description of Verification Process: An operator employing 10 or more employees prepares a Working Conditions and Workplace Annual report and submits it electronically via the Department of Labour Protection and Welfare website. A Department of Labour Protection and Welfare Officer verifies that the report has been submitted. In case that the officer has verified and suspected that the document is false, the officer has authority to go into an enterprise, send the letter of inquiry, or summon to clarify the facts, or send a written order for the employer to follow correctly</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Documentary review 					

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
		<p>O1-I4.1.3: (For non-FIO enterprises) A complaint against an operator (concerning an employee's claim to a sum of money) recorded by the Ministry of Labour will have been processed in accordance with the procedures outlined in the Labour Protection Act.</p>	<p>O1-V4.1.3.1: Order issued by Inspector of Labour.</p>	<p>Ministerial Regulation on Labour Protection in Agriculture work, 2014 Clause 4 Labour Protection Act, 1998 Section 123,124</p>	<p>Verifier Issued by O1-V4.1.3.1: Inspector of Labour</p> <p>Verification Process Conducted by: Inspector of Labour</p> <p>Verification Frequency: per complaint</p>
<p>Description of Verification Process: An employee making a complaint against an operator (concerning an employee's claim to a sum of money) submits the complaint to the Inspector of Labour. The Inspector of Labour considers the complaint and may contact the employee and/or the operator requesting further information. The Inspector of Labour is required to issue an Order on the matter within 60 days (be able to be extended until not more than 90 days) of receiving the complaint. (Note: The TLAS may need to verify that Inspector of Labour Orders have been issued within the set time-limits.)</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Documentary review 2. Additional information as required 					

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
		<p>O1-I4.1.4: A case lodged with the Labour Court concerning workplace conditions will have been processed in accordance with procedures outlined in Act on Establishment of Labour Court and Procedure.</p>	<p>O1-V4.1.4.1: Labour Court Decision</p>	<p>The Establishment of Labour Court and Procedure Act, 1979 Section 8, 53</p>	<p>Verifier Issued by O1-V4.1.4.1: Labour Court</p> <p>Verification Process Conducted by: Labour Court</p> <p>Verification Frequency: per case</p>
<p>Description of Verification Process: A case lodged with the Labour Court concerning workplace conditions follows a prescribed course potentially leading to a trial, including procedures for promoting reconciliation at an initial stage where is possible and procedures for investigating a case before making a decision about whether the case will go to court. Various Sections of the Establishment of Labour Court and Procedure Act, 1979 (including Section 37 and Section 39) refer to the importance of cases being processed promptly; however, few specified time-frames are stated. Nonetheless, the Labour Court provisions are a key component of the Thai legal framework regulating worker’s rights.</p> <p>(Note: The TLAS may need to verify that Labour Court Decisions have been delivered within reasonable time-frames.)</p> <p>Verification Means:</p> <ol style="list-style-type: none"> 1. Documentary review 					

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
		2. Additional information as required			
	O1-C4.2 Child labour	O1-I4.2.1: The operator employs no children under the age of 15 years.	O1-V4.2.1.1: Report of the Inspector of Labour	Labour Protection Act, 1998 Section 44, 112	<p>Verifier Issued by O1-V4.2.1.1: Inspector of Labour</p> <p>Verification Process Conducted by: Inspector of Labour</p> <p>Verification Frequency: Ad hoc (as determined by Department of Labour Protection and Welfare inspection schedule) or as per complaint</p> <p>Description of Verification Process: The Inspector of Labour inspects a number of businesses every year, with the selection of target business based on size of the business, the type of business, and estimated risk of irregularities occurring. Additionally, the Inspector of Labour inspects businesses based on complaints received. Upon investigating a business, the Inspector of Labour prepares a report assessing and potentially verifying compliance with the provisions of the Labour Protection Act, 1998.</p> <p>Verification Means:</p> <ol style="list-style-type: none"> 1. Inspection on site 2. Documentary review

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
		<p>O1-I4.2.2: An operator employing children between the ages of 15 and 18 will have complied with Chapter 4 of the Labour Protection Act on the employment of children under the age of 18.</p>	<p>O1-V4.2.2.1: Letter of Acknowledgement from the Department of Labour Protection and Welfare</p>	<p>Labour Protection Act, 1998 Section 45</p>	<p>Verifier Issued by O1-V4.2.2.1: Department of Labour Protection and Welfare</p> <p>Verification Process Conducted by: Inspector of Labour</p> <p>Verification Frequency: Ad hoc (as determined by Department of Labour Protection and Welfare inspection schedule) or as per complaint</p>
<p>Description of Verification Process: An operator, employing children between the ages of 15 and 18, must notify the Department of Labour Protection and Welfare within 15 days after employment. In response to this notification by the operator, the Department of Labour Protection and Welfare must issues a Letter of Acknowledgement, which the operator must keep on-site. The Inspector of Labour inspects a number of business every year, with the selection of target business based on size of the business, the type of business, and estimated risk of irregularities occurring. Additionally, the Inspector of Labour inspects businesses based on complaints received. Upon investigating a business, the Inspector of Labour prepares a report assessing and potentially verifying compliance with the provisions of the Labour Protection Act, 1998.</p>					

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
		Verification means: <ol style="list-style-type: none"> 1. Inspection on site 2. Documentary review 			
(1) P5 Operator's Tax, Fee & Other Payment Obligations	O1-C5.1 Operator's obligations: Forest sector royalties and other payments. (non-registered forest plantation)	O1-I5.1.1 An operator has paid royalties and forest maintenance fee on harvested trees in accordance with RFD procedures.	O1-V5.1.1.1: The receipt of royalties. O1-V5.1.1.2: The receipt of forest maintenance fee O1-V5.1.1.3: The stamped royalty collection records.	Forestry Act, 1941 Section 14,15 Rule of Royal Forest Department on checking, sealing, collecting the royalty of timber, firewood or charcoal, 1967 Clause 14 National Reserved Forest Act, 1964 Section 5 Ministerial Regulations no.1221 (1988) issued under National Reserved Forest Act, 1964	Verifier Issued by O1-V5.1.1.1: The receipt of royalties issued by Provincial Natural Resources. O1-V5.1.1.2: The receipt of forest maintenance fee issued by Local Forest Resource Management Officer; RFD Office O1-V5.1.1.3: Competence Officer (including Provincial Natural Resources and Environment Officer, Local Forest Resource Management Officer, RFD Office) Verification Process Conducted by: Competence

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
					<p>Officer (including Provincial Natural Resources and Environment Officer, Local Forest Resource Management Officer, RFD Officer)</p> <p>Verification Frequency: per harvest</p> <p>Description of Verification Process: For Restricted Species, the law specifies to advance some of royalty at a rate per tree or log paid before a license is granted and paid the rest after license is granted.</p> <p>For Unrestricted Species, royalty and forest maintenance fee shall be paid after a license is granted.</p> <p>In both cases, a Competence Officer issues a receipt for harvest royalties and forest maintenance fee received.</p> <p>Verification Means:</p> <ol style="list-style-type: none"> 1. Documentary review 2. Inspection of species, size, and quantity of timber

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
		O1-I5.1.2 An operator has paid harvest permit fee, royalties and forest maintenance fee with the fee rates outlined in the Forestry Act.	<p>O1-V5.1.2.1: RFD/Natural Resources and Environment Office receipt</p> <p>O1-V5.1.2.2: : The receipt of royalties and forest maintenance fee</p>	<p>Forestry Act, 1941 Section 25, 75</p> <p>National Reserved Forest Act, 1964 Section 5</p> <p>Ministerial Regulation No. 1221 (1988) issued under National Reserved Forest Act, 1964</p>	<p>Verifier Issued by O1-V5.1.2.1: Competence Officer (including Provincial Natural Resources and Environment Officer, Local Forest Resource Management Officer, RFD Officer)</p> <p>O1-V5.1.2.2: Competence Officer (including Provincial Natural Resources and Environment Officer, Local Forest Resource Management Officer, RFD Officer)</p> <p>Verification Process Conducted by: Competence Officer (including Provincial Natural Resources and Environment Officer, Local Forest Resource Management Officer, RFD Officer)</p>

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
					<p>Verification Frequency: per harvesting permit</p> <p>Description of Verification Process: The operator pays harvest permit fees when applying for a harvest permit. A Competence Officer issues a receipt for the harvest permit and records the payment.</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Documentary review
		O1-I5.1.3: The operator has paid transportation permit fees in accordance with the fee rates outlined in the Forestry Act.	O1-V5.1.3.1: RFD receipt	<p>Forestry Act, 1941 Section 75</p> <p>Ministerial Regulations no. 23 (1975) issued under Forestry Act, 1941 on fee rate</p>	<p>Verifier Issued by O1-V5.1.3.1: Competence Officer (including Provincial Natural Resources and Environment Officer, Local Forest Resource Management Officer, RFD Officer).</p> <p>Verification Process Conducted by: Competence Officer (including Provincial Natural Resources and Environment Officer, Local Forest Resource</p>

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
					<p>Management Officer, RFD Officer).</p> <p>Verification Frequency: per permit</p> <p>Description of Verification Process: An operator must pay a fee in order to be issued a Transportation of Timber permit. This permit is only issued after Competence Officer receives the fee. The existence of the Transportation of Timber permit (therefore also verifying the payment of the related fee) is verified by Competence Officer at all RFD checkpoints through which timber shipments pass.</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Documentary review
(1) P6 Operator's Forest Management , Environment	O1-C6.1.Ecosystem Management (including soil, watershed area, etc.) for plantations	O1-I6.1.1: An operator complies with RFD plantation reporting requirements after being permitted for plantation or planting trees.	O1-V6.1.1.1: Forest or Tree Plantation Performance Annual Report year/issued by/Province (PS.32)	Rule of RFD on permission for plantation in National Reserved Forest, 2005 Clause 15	<p>Verifier Issued by O1-V6.1.1.1: Operator</p> <p>Verification Process Conducted by: Competence Officer (including Provincial Natural Resources and Environment Officer, Local Forest Resource Management Officer, RFD Officer).</p>

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES	
					Verification Frequency: Annually	
		<p>Description of Verification Process: Every year the Licensed Entrepreneur is obliged to prepare: Forest or Tree Plantation Performance Annual Report and submits to the RFD. A Competence Officer is required to review the Licensed Entrepreneur’s Annual Performance Report and verify that the report meets the requirements. Upon verifying that the Annual Performance Report meets the requirements standards; the Competence Officer signs the report.</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Documentary review 				
		O1-I6.1.2.: An operator complies with conditions attached on written permit	O1-V6.1.2.1: Conditions attached on written permit	Rule of RFD on permission for plantation in National Reserved Forest, 2005 Clause 15	Verifier Issued by O1-V6.1.2.1: RFD with Minister of MONRE approval Verification Process Conducted by: Competence Officer (including Provincial Natural Resources and Environment Officer, Local Forest Resource Management Officer, RFD Officer, Registrar of Chainsaw).	

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
					Verification Frequency: Annually
		Description of Verification Process: Competence Officer will randomly inspect plantation on site that the operation has been complied with conditions attached on written permit. Verification means: <ol style="list-style-type: none"> 1. Site Inspection 			
		O1-I6.1.3: A plantation established on National Reserved Forest land after 2005 has been planted on land determined by the RFD to be Degraded Land in accordance with the requirements of the rule of RFD.	O1-V6.1.3.1: The audit report of forest condition in which the operator hold a permit to do forest or tree plantation in national reserved forest section 20 of National Reserved Forest Act, 1964 (P.S.30) Clause 7	Rule of RFD on permission for plantation in National Reserved Forest, 2005 Clause 8, 9 Resolution of the Cabinet on June 2, 1987 on the criteria and conditions defined forest degradation. And Resolution of the Cabinet on May 9, 1989 on Reviewing the Resolution of the Cabinet on June 2, 2530	Verifier Issued by O1-V6.1.3.1: RFD Officer ordered to inspect forest Verification Process Conducted by: Competence Officer (including Provincial Natural Resources and Environment Officer, Local Forest Resource Management Officer, RFD Officer) Verification Frequency:

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
				on the criteria and conditions defined forest degradation	per term of request
		<p>Description of Verification Process: As part of the RFD’s policy and annual planning activities, areas of Degraded Land are identified and declared by Ministerial Announcement. An operator may then apply for a permit to establish a forest or tree plantation on an area of declared Degraded Land. Upon receiving an application from an operator, a Competence Officer will inspect the area to verify that the status of the land is Degraded as per the Ministerial Announcement. Upon the status of the land being verified as Degraded by the Competence Officer, the Competence Officer will prepare and submit a report (including geographic, environmental and social details of the area under application) so that the Provincial Governor can recommend whether a permit be granted.</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Documentary review 2. Site inspection 			
		O1-I6.1.4: An operator submitting the application for a plantation over 100 Rai established on National Reserved Forest land after 2005 will have included additional requirements outlined in the Rule of RFD.	O1-V6.1.4.1: Project document showing objective, timeline, project’s goal, and investment expenses	Rule of RFD on permission for plantation in National Reserved Forest, 2005 Clause 6	Verifier Issued by O1-V6.1.4.1: Operator Verification Process Conducted by: Competence Officer (including Provincial Natural Resources and Environment Officer, Local Forest Resource Management Officer,

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
					<p>RFD Officer)</p> <p>Verification Frequency: per application</p> <p>Description of Verification Process: In addition to the process outlined in I6.1..2 above, an operator, applying for a permit in the area of Degraded Land over 101 Rai (about 16 hectares), is required to submit project documents including details of start time, objective, goal and costs. A Competence Officer verifies the preparation and submission of these project documents that is suitable for a standard.</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Documentary review

Operator 2 (O2): Public Land (Other than National Reserved Forest and Protected Forest) Operators (5 Principles, 10 Criteria, 16 Indicators, 24 Verifiers)

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
(2) P1 Operator Legality (including Land)	O2-C1.1: Operator's right to access land	O2-I1.1.1: A person sourcing timber from public land (apart from National Reserved Forest or Protected Forest) holds permit documentation from government agency.	O2-V1.1.1.1: Certificate of Permission for Possession of Land for Activity within Forest Area (under Section 54 of the Forestry Act) and/or Certificate of Permission from other government agencies	Forestry Act, 1941 Section 54 Land for the Livelihood Act, 1968 Section 23,24 Agricultural Land Reform Act, 1975 Section 30 Ministerial Regulations on the criteria and processing for governing, maintaining, using, and providing the benefit of state land, 2002 Clause 23	Verifier Issued by O2-V1.1.1.1: - Certificate of Permission for utilization in forest under section 54 of the Forestry Act, 1941 issued by DG of RFD - Certificate of Permission for Activity within Forest Area (Sor Por Kor) issued by Agricultural Land Reform Committee. - Self-help Land Settlement permit issued by DG of Department of Social Development and Welfare. - Land Leases and other reciprocal contracts (available only Government Department) issued by Treasury Department of Kingdom of Thailand. Verification Process

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
					<p>Conducted by: Competence Officer (including Provincial Natural Resources and Environment Officer, Local Forest Resource Management Officer, RFD Officer).</p> <p>Verification Frequency: per harvest</p> <p>Description of Verification Process: The existence of a valid land use permit (held by the operator) is verified by a Competence Officer prior to be issued a Harvesting Permit to the operator. This process (of issuing a Harvesting Permit) also involves a visit by the Competence Officer to the timber harvesting area.</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Documentary review 2. Survey of timber harvest area
(2) P2 Sourcing and transportati on of Timber	O2-C2.2: Traceability (registered forest plantation)	O2-I2.2.1: An operator has complied with Forest Plantation Act procedures for harvesting timber on plantations.	O2-V2.2.1.1: Acknowledged Certificate from the Registrar of Plantations (Sor Por 13)	Forest Plantation Act, 1992 and amended Section 11, 12, 13	Verifier Issued by O2-V2.2.1.1: Chief District Officers at local plantations are located or Director of Private Reforestation Division for Bangkok.

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
			O2-V2.2.1.2: Acknowledged Letter of Timber account from registered forest plantation (Sor Por 15)		<p>O2-V2.2.1.2: Operator</p> <p>Verification Process Conducted by: Competence Officer (including Provincial Natural Resources and Environment Officer, Local Forest Resource Management Officer, RFD Officer)</p> <p>Verification Frequency O1-V2.2.1.1: per cutting notification letter</p> <p>O1-V2.2.1.2: per shipment</p> <p>Description of Verification Process: When the Licensed Entrepreneur wishes to harvest, s/he submits a Notification to Harvest to a Competence Officer. The Registrar of Plantations issues an Acknowledged Certificate and Licensed Entrepreneur must keep in order to show to a Competence Officer in the event of an (ad hoc) investigation. When there is a timber moving out of plantation, the operator must issue Acknowledged Letter of Timber account from registered forest plantation (Sor Por 15) and keep the original with the transported timber to show to the Competence Officer in a random investigation.</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Documentary review

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
		O2-I2.2.2: A person transporting timber out of the plantation from which it was sourced holds the evidence of rightful acquisition.	<p>O2-V2.2.2.1: Acknowledged Certificate from the Registrar of Plantations (Sor Por 13)</p> <p>O2-V2.2.2.2: Acknowledged Letter of Timber account from registered forest plantation (Sor Por 15)</p>	<p>Forest Plantation Act , 1992 Section 13, 21</p>	<p>Verifier Issued by O2-V2.2.2.1: Chief District Officers at local plantations are located or Director of Private Reforestation Division for Bangkok.</p> <p>O2-V2.2.2.2: Operator (plantation doer)</p> <p>Verification Process Conducted by: Competence Officer (including Provincial Natural Resources and Environment Officer, Local Forest Resource Management Officer, RFD Office)</p> <p>Verification Frequency: per shipment</p>
		<p>Description of Verification Process: Competence Officer will randomly verify the timber shipment from registered forest plantation by considering the Acknowledged Certificate (Sor Por 13) along with the stamp, imprint, or seal showing its owner and the Acknowledged Letter of Timber account (Sor Por 15) recording the transfer of the timber to the transferee.</p>			

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
		Verification means: 1. Documentary review 2. Inspection of shipment			
	O2-C2.3 Traceability (non-registered forest plantation)	O2-I2.3.1: An operator has complied with RFD procedures for the harvesting of Restricted Species.	O2-V2.3.1.1: Teak logging in forest written permit (permit 1) O2-V2.3.1.2: Restricted Timber except Teak in forest written permit (permit 2)	Forestry Act, 1941 Section 11	Verifier Issued by O2-V2.3.1.1-2: Competence Officer (including Provincial Natural Resources and Environment Officer, Local Forest Resource Management Officer; RFD Officer). Verification Process Conducted by: Competence Officer (including Provincial Natural Resources and Environment Officer; Local Forest Resource Management Officer; RFD Officer). Verification Frequency: per harvest

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
		<p>Description of Verification Process: When the operator wishes to harvest timber, s/he applies for a Logging Permit from the RFD. Upon receipt of a Logging Permit, the operator may harvest the tree(s). Following the harvest and before the timber is removed from the place of harvest, The operator must inform the Competence Officer verifying the Logging Permit and the timber.</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Documentary review 2. Inspection of harvest area 3. Inspection of species, size, and quantity of timber 			
		O2-I2.3.2 An operator has complied with RFD procedures for the harvesting of Unrestricted Species.	O2-V2.3.2.1: Transportation Certificate of timber or forest product	<p>Forestry Act, 1941 Section 25</p> <p>Circular Notice of RFD, No. Gor Sor 0704.3/540 issuing on 23 January 2003 Clause 1,4</p>	<p>Verifier Issued by O2-V2.3.2.1: Provincial Governor</p> <p>Verification Process Conducted by: Competence Officer (including Provincial Natural Resources and Environment Officer, Local Forest Resource Management Officer, RFD Officer).</p> <p>Verification Frequency: per harvest</p>

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
		<p>Description of Verification Process: An operator wishing to transport unrestricted timber (round log) out of the public land where the timber was sourced must apply for a Transportation Certificate of timber or forest product at the first RFD checkpoint where the timber is transported (at which the operator must also apply for a Transport Permit). The Competence Officer verifies timer for issuing the Transportation certificate.</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Documentary review 2. Inspection of species, size, and quantity of timber 			
		O2-I2.3.3: A person transporting timber holds transportation documentation.	O2-V2.3.3.1: An RFD Transportation Certificate for round logs and transformed timber, or a Confirmation Letter of Transformed Timber or Timber Products/ a Confirmation Letter of Transformed Rubber Timber or Timber Products	<p>Forestry Act, 1941 Section 38,39,40, 53 tres</p> <p>Ministerial Regulation No. 25 (1976) issued under Forestry Act, 1941 on transformed process and having transformed timber Clause 8</p> <p>Ministerial Regulation No. 26 (1985) issued under the Forestry Act, 1941 on moving of timber and forest product</p>	<p>Verifier Issued by O2-V2.3.3.1: In the case of RFD Transportation Certificate, RFD Officer. In the case of Confirmation Letter of Transformed Timber or Timber Products/ a Confirmation Letter of Transformed Rubber Timber or Timber Products, Processing Operator</p> <p>Verification Process Conducted by: Competence Officer (including Provincial Natural Resources and</p>

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
				<p>Clause 2,5 Ministerial Regulation No. 27 (1987) issued under Forestry Act, 1941 on trade or possession for commercial purposes, product, or any of those made from restricted species Clause 6</p> <p>Regulation No. 11, (1972) issued under Forestry Act, 1941 on the control of the sawmills, using wood processing machinery Clause 9</p>	<p>Environment Officer, Local Forest Resource Management Officer, RFD Officer).</p> <p>Verification Frequency: per shipment</p>
		<p>Description of Verification Process: An operator who wishes to transport timber must either apply for an RFD Transportation Certificate, or prepare a Confirmation Letter of Transformed Timber or Timber Products/ a Confirmation Letter of Transformed Rubber Timber or Timber Products. A Competence Officer will verify the transportation documentation at every RFD checkpoint where the timber passes.</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Documentary review 			

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
		2. Inspection of shipment			
(2) P3 Operator's Forest Management , Environment and Harvesting Obligations and Practices	O2-C3.1: Right to Harvest (registered forest plantation)	O2-I3.1.1: A person harvesting timber on a registered plantation holds an RFD Acknowledged Certificate.	O2-V3.1.1.1: Acknowledged Certificate from the Registrar of Plantations (Sor Por 13)	Forest Plantation Act, 1992 and amended Section 11, 12	<p>Verifier Issued by O2-V3.1.1.1: Registrar of Plantations</p> <p>Verification Process Conducted by: Competence Officer (including Provincial Natural Resources and Environment Officer, Local Forest Resource Management Officer; RFD Officer)</p> <p>Verification Frequency: per cutting notification letter</p>
		<p>Description of Verification Process: When the Licensed Entrepreneur wishes to harvest, s/he submits a Notification to Harvest to Competence Officer. The Registrar of Plantations issues an Acknowledged Certificate and Licensed Entrepreneur must keep in order to show to a Competence Officer in the event of an (ad hoc) investigation.</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Documentary review 			

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
	O2-C3.3: Right to Harvest Restricted Species (non-registered forest plantation)	O2-I3.3.1: A person harvesting a restricted timber Category A holds an RFD permit or a restricted timber Category B holds a Ministerial Authorization.	O2-V3.3.1.1: For Category A species, an RFD Harvesting Permit O2-V3.3.1.2: For Category B species, a Ministerial Authorization	Forestry Act, 1941 Section 6, 7, 11	<p>Verifier Issued by O2-V3.3.1.1: Provincial Governor O2-V3.3.1.2: Minister of Natural Resources and Environment</p> <p>Verification Process Conducted by: Competence Officer (including Provincial Natural Resources and Environment Officer, Local Forest Resource Management Officer, RFD Officer).</p> <p>Verification Frequency: per harvest</p> <p>Description of Verification Process: When the operator wishes to harvest restricted timber, s/he applies for a Harvesting Permit from the RFD (for Category A species) or a Ministerial Authorization (for Category B species). Upon receipt of which, the operator may harvest the tree(s). Following the harvest and before the timber is removed from the place of harvest, the operator must inform the Competence Officer to verify the Logging Permit or Ministerial Authorization (as appropriate).</p> <p>Verification means: 1. Documentary review</p>

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
		2. Inspection of harvest area 3. Inspection of species, size, and quantity of timber			
	O2-C3.4 Chainsaw regulation	O2-I3.4.1: An operator has a chainsaw possessing permit and chainsaw utilizing permit by law	O2-V3.4.1.1: Chainsaw possessing permit (Lor Zor 3) O2-V3.4.1.2: Chainsaw importing for trade permit (Lor Zor 7) combined with accounts and records that show units manufactured under attached record Lor Zor 7/1, record Lor Zor 7/1 A, record Lor Zor 7/2 and record Lor Zor 7/2 C	Chainsaw Act, 2002 Section 4, Ministerial regulation on characteristic of chainsaw and chainsaw composition, 2012 Clause 2 Ministerial regulation on principle, method, and condition; possessing, producing, or importing chainsaw permission and license, and adjusting chainsaw to increase its power including the qualification and disqualification of applicant, 2008, Clause 1,2,5	Verifier Issued by O2-V3.4.1.1-2: Chainsaw Registrar (DG of RFD or a person assigned by DG in Bangkok and Provincial Governor or a person assigned by Provincial Governor in other provinces.) Verification Process Conducted by: Competence Officer under Electrical Saw Act, 2002 (RFD, DNP, DMCR, Ministry of Interior, Ministry of Defense, Royal Thai Police, Bangkok Metropolitan Administration, etc.) Verification Frequency:

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
					An authorized competence Officer can verify chainsaw permit anytime.
		<p>Description of Verification Process:</p> <ol style="list-style-type: none"> 1. Verify the mark on chainsaw which must be the same as permit 2. Verify the number on chainsaw which must be the same as permit 3. Verify the area being used chainsaw which must be in the area identified on permit. 4. Verify the duplicate of permit whether has possessing chainsaw permit. <p>Verification means:</p> <ol style="list-style-type: none"> 1. Chainsaw inspection 2. Documentary review 			
(2) P4 Operator's workplace and Social Obligations (including Worker's Rights, Health, Safety & Welfare)	O2-C4.1 Worker's right	O2-I4.1.1: An operator employing 10 employees or more will comply with the requirements of the Labour Protection Act.	O2-V4.1.1.1: Working conditions and workplace annual report	<p>Ministerial Regulation on Labour Protection in Agricultural work, 2014 Clause 4</p> <p>Labour Protection Act, 1998 Section 112,113,114,115</p>	<p>Verifier Issued by O2-V4.1.1.1: Operator</p> <p>Verification Process Conducted by: Department of Labour Protection and Welfare</p> <p>Verification Frequency: Annually</p>

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
		<p>Description of Verification Process: An operator employing over 10 employees prepares a Working Conditions and Workplace Annual report and submits electronically via the Department of Labour Protection and Welfare website. A Department of Labour Protection and Welfare Officer verifies that the report has been submitted. In case that the officer has verified and suspected that the document is false, the officer has authority to go into an enterprise, send the letter of inquiry, or summon to clarify the facts, or send a written order for the employer to follow correctly.</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Documentary review 			
		<p>O2-I4.1.2: A complaint against an operator (concerning an employee's claim to a sum of money) recorded by the Ministry of Labour will have been processed in accordance with the procedures outlined in the Labour Protection Act.</p>	<p>O2-V4.1.2.1: Order issued by Inspector of Labour.</p>	<p>Ministerial Regulation on Labour Protection in Agricultural Work, 2014 Clause 4 Labour Protection Act, 1998 Section 123,124</p>	<p>Verifier Issued by O2-V4.1.2.1: Inspector of Labour</p> <p>Verification Process Conducted by: Inspector of Labour</p> <p>Verification Frequency: per complaint</p>
		<p>Description of Verification Process: An employee making a complaint against an operator (concerning an employee's claim to a sum of money) submits the complaint to the Inspector of Labour. An Inspector of Labour considers the complaint and may contact the employee and/or the operator requesting further</p>			

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
		<p>information. The Inspector of Labour is required to issue an Order on the matter within 60 days (able to be extended until not more than 90 days) of receiving the complaint. (Note: The TLAS may need to verify that Inspector of Labour Orders have been issued within the set time-limits.)</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Documentary review 2. Additional information as required 			
		<p>O2-I4.1.3: A case lodged with the Labour Court concerning workplace conditions will have been processed in accordance with procedures outlined in the Labour Courts and Procedures Act.</p>	<p>O2-V4.1.3.1: Labour Court Decision</p>	<p>The Establishment of Labour Court and Procedure Act, 1979 Section 8, 53</p>	<p>Verifier Issued by O2-V4.1.3.1: Labour Court</p> <p>Verification Process Conducted by: Labour Court</p> <p>Verification Frequency: per case</p>
		<p>Description of Verification Process: A case lodged with the Labour Court concerning workplace conditions follows a prescribed course potentially leading to a trial, including procedures for promoting reconciliation at an initial stage where is possible and procedures for investigating a case before making a decision about whether the case will go to court. Various Sections of the Establishment of Labour Court and Procedure Act, 1979 (including Section 37 and Section 39) refer to the importance of cases being processed promptly; however, few specified time-frames are stated. Nonetheless, the Labour Court provisions are a key component of the Thai legal framework regulating worker’s rights.</p>			

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
		<p>(Note: The TLAS may need to verify that Labour Court Decisions have been delivered within reasonable time-frames.)</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Documentary review 2. Additional information as required 			
	O2-C4.2 Child labour	O2-I4.2.1: The operator employs no children under the age of 15 years.	O2-V4.2.1.1: Report of the Inspector of Labour	Labour Protection Act, 1998 Section 44, 112	<p>Verifier Issued by O2-V4.2.1.1: Inspector of Labour</p> <p>Verification Process Conducted by: Inspector of Labour</p> <p>Verification Frequency: Ad hoc (as determined by Department of Labour Protection and Welfare inspection schedule) or as per complaint</p>
	<p>Description of Verification Process: The Inspector of Labour inspects a number of businesses every year, with the selection of target business based on size of the business, the type of business, and estimated risk of irregularities occurring. Additionally, the Inspector of Labour inspects businesses based on</p>				

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
		<p>complaints received. Upon investigating a business, the Inspector of Labour prepares a report assessing and potentially verifying compliance with the provisions of the Labour Protection Act, 1998.</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Inspection on site 2. Documentary review 			
		<p>O2-I4.2.2: An operator employing children between the age of 15 and 18 will have complied with Chapter 4 of the Labour Protection Act on the employment of children under the age of 18.</p>	<p>O2-V4.2.2.1: Letter of Acknowledgement from the Department of Labour Protection and Welfare.</p>	<p>Labour Protection Act, 1998 Section 45</p>	<p>Verifier Issued by O2-V4.2.2.1: Department of Labour Protection and Welfare</p> <p>Verification Process Conducted by: Inspector of Labour</p> <p>Verification Frequency: Ad hoc (as determined by Department of Labour Protection and Welfare inspection schedule) or as per complaint</p>
		<p>Description of Verification Process: An operator employing children between the age of 15 and 18 must notify the Department of Labour Protection and Welfare within 15 days after employment. In response</p>			

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
		<p>to this notification by the operator, the Department of Labour Protection and Welfare must issue a Letter of Acknowledgement, which the operator must keep on-site.</p> <p>The Inspector of Labour inspects a number of businesses every year, with the selection of target business based on size of the business, the type of business, and estimated risk of irregularities occurring. Additionally, the Inspector of Labour inspects businesses based on complaints received. Upon investigating a business, the Inspector of Labour prepares a report assessing and potentially verifying compliance with the provisions of the Labour Protection Act, 1998.</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Inspection on site 2. Documentary review 			
(2) P5 Operator's Tax, Fee & Other Payment Obligations	O2-C5.1 Operator's obligations: Forest sector royalties and other payments. (non-registered forest plantation)	O2-I5.1.1 An operator has paid royalties and forest maintenance fee on harvested trees in accordance with RFD procedures.	<p>O2-V5.1.1.1: The receipt of royalties and forest maintenance fee</p> <p>O2-V5.1.1.2: The stamped royalty collection records</p>	<p>Forestry Act, 1941 Section 14,15</p> <p>Rule of Royal Forest Department laying down for checking, sealing, collection the royalty of timber, firewood or charcoal, 1967 Clause 14</p> <p>National Reserved Forest Act, 1964</p>	<p>Verifier Issued by O2-V5.1.1.1: The receipt of royalties issued by Provincial Natural Resources and Environment Officer, forest maintenance fee issued by Local Forest Resource Management Officer)</p> <p>O2-V5.1.1.2: Competence Officer (including Provincial Natural Resources and Environment Officer, Local</p>

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
				Section 5 Ministerial Regulations no. 1221 (1988) issued under National Reserved Forest Act, 1964	Forest Resource Management Officer, RFD Officer) Verification Process Conducted by: Competence Officer (including Provincial Natural Resources and Environment Officer, Local Forest Resource Management Officer, RFD Officer) Verification Frequency: per harvest
<p>Description of Verification Process: For Restricted Species, the law specifies harvest royalties according to volume of timber, as required by law.</p> <p>For Unrestricted Species, it is not necessary to be permitted to harvest those by a Competence Officer. Thus, it does not have to pay royalty and forest maintenance fee.</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Documentary review 					

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
		O2-I5.1.2 An operator has paid royalties and forest maintenance fee with the fee rates outlined in the Forestry Act.	O2-V5.1.2.1: RFD receipt O2-V5.1.2.2: RFD harvest fee collection records.	Forestry Act,1941 Section 75 Ministerial Regulations No. 30 (1975) issued under Forestry Act, 1941 on fee rate	Verifier Issued by O2-V5.1.2.1: Competence Officer (including Provincial Natural Resources and Environment Officer, Local Forest Resource Management Officer, RFD Officer) O2-V5.1.2.2: Competence Officer (including Provincial Natural Resources and Environment Officer, Local Forest Resource Management Officer, RFD Officer) Verification Process Conducted by: Competence Officer (including Provincial Natural Resources and Environment Officer, Local Forest Resource Management Officer, RFD Officer) Verification Frequency: per harvesting permit

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES	
						<p>Description of Verification Process: The operator pays harvest permit fees when applying for a harvest permit. A Competence Officer issues a receipt for the harvest permit and records the payment.</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Documentary review
		O2-I5.1.3: The operator has paid transportation permit fees in accordance with the fee rates outlined in the Forestry Act.	O2-V5.1.3.1: RFD receipt	<p>Forestry Act, 1941 Section 75</p> <p>Ministerial Regulations no. 23 (1975) issued under Forestry Act, 1941 on fee rate</p>	<p>Verifier Issued by O2-V5.1.3.1: Competence Officer (including Provincial Natural Resources and Environment Officer, Local Forest Resource Management Officer, RFD Officer).</p> <p>Verification Process Conducted by: Competence Officer (including Provincial Natural Resources and Environment Officer, Local Forest Resource Management Officer, RFD Officer).</p>	

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
					<p data-bbox="1697 320 2018 392">Verification Frequency: per permit</p> <p data-bbox="651 480 2024 628">Description of Verification Process: An operator must pay a fee in order to be issued with a Transportation of Timber permit. This permit is only issued after Competence Officer receives the fee. The existence of the Transportation of Timber permit (therefore also verifying the payment of the related fee) is verified by Competence Officer at all RFD checkpoints where timber shipments pass.</p> <p data-bbox="651 676 1021 748">Verification means: 1. Documentary review</p>

Operator 3 (O3): Private Land Operators (5 Principles, 9 Criteria, 14 Indicators, 19 Verifiers)

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
(3) P1 Operator Legality (including Land)	O3-C1.1: Operator's right to access land	O3-I1.1.1: A person sourcing timber from private land holds a Title Deed or Possessor's Right, or a lease.	O3-V1.1.1.1: Title Deed (Chanote Teedin) or Possessor's Right (Nor Sor 3, Nor Sor 3 Gor, Nor Sor 3 Kho, Nor Sor 2), or lease.	Land Code Civil and Commercial Code Part 3 Specific Contract type 4: Lease	<p>Verifier Issued by O3-V1.1.1.1: Title Deeds and Possessor's Rights issued by the Department of Land. Legal Lease issued by Lessors who possess Title Deeds or Possessor's Rights.</p> <p>Verification Process conducted by: Competence Officer (including Provincial Natural Resources and Environment Officer, Local Forest Resource Management Officer, RFD Officer).</p> <p>Verification Frequency: Per harvest.</p>
		<p>Description of Verification Process: For restricted species (under section 7 of Forestry Act, 1941 and amended): an operator holds the document of land possessing right verified by a Competence Officer prior to be issued a Harvesting Permit to the operator. This process (of issuing a Harvesting Permit) also involves a visit on to the timber harvesting area by the Competence Officer.</p>			

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
		<p>For unrestricted species:</p> <ol style="list-style-type: none"> 1. The unrestricted species is not controlled under Forestry Act, 1941, so that the operator does not have to hold harvest permit and transportation permit. 2. Species attached on Forest Plantation Act, 1992 and amended which is a voluntary registering into forest plantation, Harvesting and transporting timber must follow the Act's process. <p>Verification means:</p> <ol style="list-style-type: none"> 1. Documentary review 2. Survey of timber harvest area 			
(3) P2 Sourcing and transportati on of Timber	O3-C2.2 Traceability (registered forest plantation)	O3-I2.2.1: An operator has complied with Forest Plantation Act procedures for harvesting timber on plantations.	<p>O3-V2.2.1.1: Acknowledged Certificate from the Registrar of Plantations (Sor Por 13)</p> <p>O3-V2.2.1.2: Acknowledged letter of Timber account from registered forest plantation (Sor Por 15)</p>	Forest Plantation Act, 1992 and amended Section 11, 12, 13	<p>Verifier Issued by</p> <p>O3-V2.2.1.1: Chief District Officers at local plantations are located or Director of Private Reforestation Division for Bangkok.</p> <p>O3-V2.2.1.2: Operator</p> <p>Verification Process Conducted by:</p> <p>Competence Officer (including Provincial Natural Resources and Environment Officer, Local Forest Resource Management</p>

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
					Officer, RFD Officer) Verification Frequency O3-V2.2.1.1: per cutting notification letter O3-V2.2.1.2: per shipment
		<p>Description of Verification Process: When the Licensed Entrepreneur wishes to harvest, s/he submits a Notification to Harvest to Competence Officer. The Registrar of Plantations issues an Acknowledged Certificate and Licensed Entrepreneur must keep in order to show to a Competence Officer in the event of an (ad hoc) investigation. When there is a timber moving out of plantation, the operator must issue Acknowledged Letter of Timber account from registered forest plantation (Sor Por 15) and keep the original with the transported timber to show to the Competence Officer in a random investigation.</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Documentary review 			
		O3-I2.2.2: A person transporting timber out of the plantation from which it was sourced holds the evidence of rightful acquisition.	O3-V2.2.2.1: Acknowledged Certificate from the Registrar of Plantations (Sor Por 13) O3-V2.2.2.2: Acknowledged Letter	Forest Plantation Act , 1992 Section 13, 21	Verifier Issued by O3-V2.2.2.1: Chief District Officers at local plantations are located or Director of Private Reforestation Division for Bangkok.

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
			of Timber account from registered forest plantation (Sor Por 15)		<p>O3-V2.2.2.2: Operator (plantation doer)</p> <p>Verification Process Conducted by: Competence Officer (including Provincial Natural Resources and Environment Officer, Local Forest Resource Management Officer, RFD Officer)</p> <p>Verification Frequency: per shipment</p> <p>Description of Verification Process: Competence Officer will randomly verify the timber shipment from registered forest plantation by considering the Acknowledged Certificate (Sor Por 13) along with the stamp, imprint, or seal showing its owner and the Acknowledged Letter of Timber account (Sor Por 15) recording the transfer of the timber to the transferee.</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Documentary review 2. Inspection of shipment

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
	O3-C2.3 Traceability (non-registered forest plantation)	O3-I2.3.1: An operator has complied with RFD procedures harvesting of restricted species.	<p>O3-V2.3.1.1: Harvesting Permit (permit 3) for logging on NorSor 3 land</p> <p>O3-V2.3.1.2: Harvesting Permit (permit 5) for teak logging on land with title deed for trade</p> <p>O3-V2.3.1.3: Harvesting Permit (permit 7) for <i>Dipterocarpus alatus</i> Roxb on NorSor 3 land or land with title deed for trade</p>	Forestry Act, 1941 Section 12	<p>Verifier Issued by O3-V2.3.1.1-3: Provincial Governor</p> <p>Verification Process Conducted by: Competence Officer (including Provincial Natural Resources and Environment Officer, Local Forest Resource Management Officer, RFD Officer).</p> <p>Verification Frequency: per harvest</p>
		<p>Description of Verification Process: When the operator wishes to harvest timber, the operator applies for a Logging Permit from the RFD. Upon receipt of a Logging Permit, the operator may harvest the tree(s). Following the harvest and before the timber is removed from the place of harvest, The operator musts inform the Competence Officer verifying the Logging Permit and the timber.</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Documentary review 2. Inspection of harvest area 			

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
		3. Inspection of species, size, and quantity of timber			
		O3-I2.3.2: A person transporting timber holds transportation documentation.	O3-V2.3.2.1: An RFD Transportation Certificate for round logs and transformed timber, or a Confirmation Letter of Transformed Timber or Timber Products/ a Confirmation Letter of Transformed Rubber Timber or Timber Products	<p>Forestry Act, 1941 Section 38,39,40, 53 tres</p> <p>Ministerial Regulation No. 25 (1976) issued under Forestry Act, 1941 on transformed process and having transformed timber Clause 8</p> <p>Ministerial Regulation No. 26 (1985) issued under the Forestry Act, 1941 on moving of timber and forest product Clause 2,5</p> <p>Ministerial Regulation No. 27 (1987) issued under Forestry Act, 1941 on trade or</p>	<p>Verifier Issued by O3-V2.3.2.1: In the case of RFD Transportation Certificate, RFD Officer. In the case of Confirmation Letter of Transformed Timber or Timber Products/ a Confirmation Letter of Transformed Rubber Timber or Timber Products, Processing Operator</p> <p>Verification Process Conducted by: Competence Officer (including Provincial Natural Resources and Environment Officer, Local Forest Resource Management Office,; RFD Officer).</p> <p>Verification Frequency: per shipment</p>

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
				<p>possession for commercial purposes, product, or any of those made from restricted species. Clause 6</p> <p>Regulation No. 11, 1972, issued under Forestry Act, 1941 on the control of the sawmills, using wood processing machinery Clause 9</p>	<p>Description of Verification Process: An operator who wishes to transport timber must either apply for an RFD Transportation Certificate, or prepare a Confirmation Letter of Transformed Timber or Timber Products/ a Confirmation Letter of Transformed Rubber Timber or Timber Products. A Competence Officer will verify the transportation documentation at every RFD checkpoint where the timber passes.</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Documentary review 2. Inspection of shipment

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
(3) P3 Operator's Forest Management, Harvesting Obligations and Practices	O3-C3.1: Right to Harvest (registered forest plantation)	O3-I3.1.1: A person harvesting timber on a registered plantation holds an RFD Acknowledged Certificate.	O3-V3.1.1.1: Acknowledged Certificate from the Registrar of Plantations. (Sor Por 13)	Forest Plantation Act, 1992 and amended Section 11, 12,13	<p>Verifier Issued by O3-V3.1.1.1: Registrar of Plantations</p> <p>Verification Process Conducted by: Competence Officer (including Provincial Natural Resources and Environment Officer, Local Forest Resource Management Officer, RFD Officer)</p> <p>Verification Frequency: per cutting notification letter</p>
		<p>Description of Verification Process: When the Licensed Entrepreneur wishes to harvest, s/he submits a Notification to Harvest to Competence Officer. The Registrar of Plantations issues an Acknowledged Certificate and Licensed Entrepreneur must keep in order to show to a Competence Officer in the event of an investigation.</p> <p>Verification means: 1. Documentary review</p>			

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
	O3-C3.3: Right to Harvest Restricted Species (non-registered forest plantation)	O3-I3.3.1: A person harvesting a restricted species holds an RFD permit.	O3-V3.3.1.1: RFD Logging Permit for Restricted Species on Private Land	Forestry Act, 1941 Section 6, 7, 11	<p>Verifier Issued by O3-V3.3.1.1: Provincial Governor</p> <p>Verification Process Conducted by: Competence Officer (including Provincial Natural Resources and Environment Officer, Local Forest Resource Management Officer, RFD Officer).</p> <p>Verification Frequency: per harvest</p> <p>Description of Verification Process: When the operator wishes to harvest timber of an unrestricted species, the operator applies for a Logging Permit from the RFD, upon receipt of which, the operator may harvest the tree(s). Following the harvest and before the timber is removed from the place of harvest, the operator must inform the Competence Officer to verify the Logging Permit.</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Documentary review 2. Inspection of harvest area 3. Inspection of species, size, and quantity of timber

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
	O3-C3.4 Chainsaw regulation	O3-I3.4.1: -An operator has a chainsaw possessing permit and chainsaw utilizing permit by law	O3-V3.4.1.1: Chainsaw possessing permit (Lor Zor 3) O3-V3.4.1.2: Chainsaw importing for trade permit (Lor Zor 7) combined with accounts and records that show units manufactured under attached record Lor Zor 7/1, record Lor Zor 7/1 A, record Lor Zor 7/2 and record Lor Zor 7/2 C	Chainsaw Act, 2002 Section 4, Ministerial regulation on characteristic of chainsaw and chainsaw composition, 2012 Clause 2 Ministerial regulation on principle, method, and condition; possessing, producing, or importing chainsaw permission and license, and adjusting chainsaw to increase its power including the qualification and disqualification of applicant, 2008, Clause 1,2,5	Verifier Issued by O3-V3.4.1.1-2: Chainsaw Registrar (DG of RFD or a person assigned by DG in Bangkok and Provincial Governor or a person assigned by Provincial Governor in other provinces. Verification Process Conducted by: Competence Officer under Electrical Saw Act, 2002 (RFD, DNP, DMCR, Ministry of Interior, Ministry of Defense, Royal Thai Police, Bangkok Metropolitan Administration, etc.) Verification Frequency: An authorized competence Officer can verify chainsaw permit anytime.
		Description of Verification Process: 1. Verify the mark on chainsaw which must be the same as permit 2. Verify the number on chainsaw which must be the same as permit			

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
		3. Verify the area being used chainsaw which must be in the area identified on permit. 4. Verify the duplicate of permit whether has possessing chainsaw permit. Verification means: <ol style="list-style-type: none"> Chainsaw inspection Documentary review 			
(3) P4 Operator's workplace and Social Obligations (including Worker's Rights, Health, Safety & Welfare)	O3-C4.1 Worker's rights	O3-I4.1.1: An operator employing 10 or more employees will comply with the requirements of the Labour Protection Act.	O3-V4.1.1.1: Working conditions and workplace annual report.	Ministerial Regulation on Labour Protection in Agricultural work, 2014 Clause 4 Labour Protection Act, 1998 Section 112,113,114,115	Verifier Issued by O3-V4.1.1.1: Operator Verification Process Conducted by: Department of Labour Protection and Welfare Verification Frequency: Annually
		Description of Verification Process: An operator employing 10 or more employees prepares a Working Conditions and Workplace Annual report and submits electronically via the Department of Labour Protection and Welfare website. A Department of Labour Protection and Welfare Officer verifies that the report has been submitted. In case that the officer has verified and suspected that the document is false, the officer has authority to go into an enterprise, send the letter of inquiry, or summon to clarify the facts, or send a written order for the employer to follow correctly. Verification means: <ol style="list-style-type: none"> Documentary review 			

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
		O3-I4.1.2: A complaint against an operator (concerning an employee's claim to a sum of money) recorded by the Ministry of Labour will have been processed in accordance with the procedures outlined in the Labour Protection Act.	O3-V4.1.2.1: Order issued by Inspector of Labour	Ministerial Regulation on Labour Protection in Agricultural work, 2014 Clause 4 Labour Protection Act, 1998 Section 123,124	Verifier Issued by O3-V4.1.2.1: Inspector of Labour Verification Process Conducted by: Inspector of Labour Verification Frequency: per complaint
<p>Description of Verification Process: An employee making a complaint against an operator (concerning an employee's claim to a sum of money) submits the complaint to the Inspector of Labour. An Inspector of Labour considers the complaint and may contact the employee and/or the operator requesting further information. The Inspector of Labour is required to issue an Order on the matter within 60 days (be able to be extended until not more than 90 days) of receiving the complaint. (Note: The TLAS may need to verify that Inspector of Labour Orders have been issued within the set time-limits.)</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Documentary review 2. Additional information as required 					

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
		<p>O3-I4.1.3: A case lodged with the Labour Court concerning workplace conditions will have been processed in accordance with procedures outlined in the Establishment of Labour Courts and Procedure Act.</p>	<p>O3-V4.1.3.1: Labour Court Decision.</p>	<p>The Establishment of Labour Court and Procedure Act, 1979 Section 8, 53</p>	<p>Verifier Issued by O3-V4.1.3.1: Labour Court</p> <p>Verification Process Conducted by: Labour Court</p> <p>Verification Frequency: per case</p>
<p>Description of Verification Process: A case lodged with the Labour Court concerning workplace conditions follows a prescribed course potentially leading to a trial, including procedures for promoting reconciliation at an initial stage where is possible and procedures for investigating a case before making a decision about whether the case will go to court. Various Sections of the Establishment of Labour Court and Procedure Act, 1979 (including Section 37 and Section 39) refer to the importance of cases being processed promptly; however, few specified time-frames are stated. Nonetheless, the Labour Court provisions are a key component of the Thai legal framework regulating worker’s rights.</p> <p>(Note: The TLAS may need to verify that Labour Court Decisions have been delivered within reasonable time-frames.)</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Documentary review 2. Additional information as required 					

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
	O3-C4.2 Child labour	O3-I4.2.1: The operator employs no children under the age of 15 years.	O3-V4.2.1.1: Report of the Inspector of Labour	Labour Protection Act, 1998 Section 44, 112	<p>Verifier Issued by O3-V4.2.1.1: Inspector of Labour</p> <p>Verification Process Conducted by: Inspector of Labour</p> <p>Verification Frequency: Ad hoc (as determined by Department of Labour Protection and Welfare inspection schedule) or as per complaint</p>
		<p>Description of Verification Process: The Inspector of Labour inspects a number of businesses every year, with the selection of target business based on size of the business, the type of business, and estimated risk of irregularities occurring. Additionally, the Inspector of Labour inspects businesses based on complaints received. Upon investigating a business, the Inspector of Labour prepares a report assessing and potentially verifying compliance with the provisions of the Labour Protection Act, 1998.</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Inspection on site 2. Documentary review 			

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
		O3-I4.2.2: An operator employing children between the ages of 15 and 18 will have complied with Chapter 4 of the Labour Protection Act on the employment of children under the age of 18.	O3-V4.2.2.1: Letter of Acknowledgement from the Department of Labour Protection and Welfare.	Labour Protection Act, 1998 Section 45	<p>Verifier Issued by O3-V4.2.2.1: Department of Labour Protection and Welfare</p> <p>Verification Process Conducted by: Inspector of Labour</p> <p>Verification Frequency: Ad hoc (as determined by Department of Labour Protection and Welfare inspection schedule) or as per complaint</p>

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
		<p>Description of Verification Process: An operator employing children between the age of 15 and 18 must notify the Department of Labour Protection and Welfare within 15 days after employment. In response to this notification by the operator, the Department of Labour Protection and Welfare must issue a Letter of Acknowledgement, which the operator must keep on-site.</p> <p>The Inspector of Labour inspects a number of businesses every year, with the selection of target business based on size of the business, the type of business, and estimated risk of irregularities occurring. Additionally, the Inspector of Labour inspects businesses based on complaints received. Upon investigating a business, the Inspector of Labour prepares a report assessing and potentially verifying compliance with the provisions of the Labour Protection Act, 1998.</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Inspection on site 2. Documentary review 			
<p>(3) P5 Operator's Tax, Fee & Other Payment Obligations</p>	<p>O3-C5.1 Operator's obligations: Forest sector royalties and other payments. (non-registered forest plantation)</p>	<p>O3-I5.1.1: The operator has paid transportation permit fees in accordance with the fee rates outlined in the Forestry Act.</p>	<p>O3-V5.1.1.1: RFD receipt</p>	<p>Forestry Act, 1941 Section 75</p> <p>Ministerial Regulations No. 23 (1975) issued under Forestry Act,1941 on fee rate</p>	<p>Verifier Issued by O3-V5.1.1.1: Competence Officer (including Provincial Natural Resources and Environment Officer, Local Forest Resource Management Officer, RFD Officer).</p> <p>Verification Process Conducted by:</p>

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
					<p>Competence Officer (including Provincial Natural Resources and Environment Officer, Local Forest Resource Management Officer, RFD Officer).</p> <p>Verification Frequency: per permit</p> <p>Description of Verification Process: An operator must pay a fee in order to be issued a Transportation of Timber permit. This permit is only issued after Competence Officer receives the fee. The existence of the Transportation of Timber permit (therefore also verifying the payment of the related fee) is verified by Competence Officer at all RFD checkpoints where timber shipments pass.</p> <p>Verification means: Documentary review</p>

Operator 4 (O4): Imported Timber Operators (2 Principles, 4 Criteria, 5 Indicators, 7 Verifiers)

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES	
(4) P2 Sourcing and transportati on of Timber	O4-C2.1: Operator's right to import timber ¹	O4-I2.1.1: Any person importing timber and timber products can demonstrate legality in the country of harvest. ²	O4-V2.1.1.1:	Customs Act, 1926 Section 40 Export and Import of Goods Act, 1979 Forestry Act, 1941	Verifier Issued by O4-V2.1.1.1: Verification Process Conducted by: Verification Frequency:	
		Description of Verification Process:				
		Verification means:				
		O4-I2.1.2: For importing species under CITES, an operator must be permitted by related legislation	O4-V2.1.2.1: Exporting permit from country of origin O4-V2.1.2.2: Importing permit	Plants Act, 1975	Verifier Issued by O4-V2.1.2.1: country of origin O4-V2.1.2.2: Importing permit issued by DOA	

¹ As discussed at 28 Jan. 2016 Stakeholder sessions and in Gap/Issues paper, it is an EU expectation that this area will be strengthened. Accordingly, the original Verifier "Certificate of Origin (CO), Export Permit, or Seal (for logs) issued by Country of Origin" has been deleted in view of the need for a more robust process. Former legal references relating to COs, Export Permits and Seals, have also been removed.

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PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
			O4-V2.1.2.3: Transportation permit		<p>O4-V2.1.2.3: Transportation permit issued by RFD</p> <p>Verification Process Conducted by O4-V2.1.2.1: DOA</p> <p>O4-V2.1.2.2: The Customs Department</p> <p>O4-V2.1.2.3: RFD</p> <p>Verification Frequency: per import</p>
		Description of Verification Process:			
		Verification means:			
	O4-C2.3 Traceability (non-registered forest plantation)	O4-I2.3.1: A person transporting timber holds transportation documentation.	O4-V2.3.1.1: An RFD Transportation Certificate for round logs and transformed timber, or a Confirmation Letter of	Forestry Act, 1941 Section 38,39,40, 53 tres Ministerial Regulation No. 25 (1976) issued	Verifier Issued by O4-V2.3.1.1: In the case of RFD Transportation Certificate, RFD Officer. In the case of Confirmation Letter of Transformed

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
			Transformed Timber or Timber Products/ a Confirmation Letter of Transformed Rubber Timber or Timber Products	<p>under Forestry Act, 1941 Clause 8 Ministerial Regulation No. 26 (1985) issued under the Forestry Act, 1941 Clause 2,5 Ministerial Regulation No. 27 (1987) issued under Forestry Act, 1941 on trade or possession for commercial purposes, product, or any of those made from restricted species. Clause 6</p> <p>Regulation No. 11, 1972, issued under Forestry Act, 1941 on the control of the sawmills, using wood processing machinery Clause 9</p>	<p>Timber or Timber Products/ a Confirmation Letter of Transformed Rubber Timber or Timber Products, Processing Operator</p> <p>Verification Process Conducted by: Competence Officer (including Provincial Natural Resources and Environment Officer, Local Forest Resource Management Officer, RFD Officer).</p> <p>Verification Frequency: per shipment</p>

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
		<p>Description of Verification Process: An operator who wishes to transport timber must either apply for an RFD Transportation Certificate, or prepare a Confirmation Letter of Transformed Timber or Timber Products/ a Confirmation Letter of Transformed Rubber Timber or Timber Products. A Competence Officer will verify the transportation documentation at every RFD checkpoint where the timber passes.</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Documentary review 2. Inspection of shipment 			
(4) P5 Operator's Tax, Fee & Other Payment Obligations	O4-C5.1 Operator's obligations: Forest sector royalties and other payments. (non-registered forest plantation)	O4-I5.1.1: The operator has paid transportation permit fees in accordance with the fee rates outlined in the Forestry Act.	O4-V5.1.1.1: RFD receipt	<p>Forestry Act, 1941 Section 75</p> <p>Ministerial Regulations no. 23 (1975) issued under Forestry Act,1941 on fee rate</p>	<p>Verifier Issued by O4-V5.1.1.1: Competence Officer (including Provincial Natural Resources and Environment Officer, Local Forest Resource Management Officer, RFD Officer).</p> <p>Verification Process Conducted by: Competence Officer (including Provincial Natural Resources and Environment Officer, Local Forest Resource Management Officer, RFD Officer).</p>

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
					<p>Verification Frequency: per permit</p> <p>Description of Verification Process: An operator must pay a fee in order to be issued a Transportation of Timber permit. This permit is only issued after Competence Officer receives the fee. The existence of the Transportation of Timber permit (therefore also verifying the payment of the related fee) is verified by Competence Officer at all RFD checkpoints where timber shipments pass.</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Documentary review
	O4-C5.2 Operator's obligations: Non Forest sector taxes.	O4-I5.2.1: An operator has paid tax on imported timber in accordance with the requirements of the Customs Act and the Customs Tariff Decree.	O4-V5.2.1.1: Tax receipts	Customs Act, 1926 Section 10 The Customs Tariff Decree, 1987 Section 4	<p>Verifier Issued by O4-V5.2.1.1: Customs Department</p> <p>Verification Process Conducted by: Competence Officer (including Provincial Natural Resources and Environment Officer, Local Forest Resource Management Officer, RFD Officer).</p> <p>Verification Frequency: per shipment</p>

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
		<p>Description of Verification Process: Prior to removing imported timber from the custody of Customs, an operator must pay the taxes, for which receipts are issued. These receipts are verified by the RFD checkpoint at the port, as the timber is removed from the port.</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Documentary review 2. Inspection of shipment 			

Operator 5 (O5): Processing and Production Operators (6 Principles, 12 Criteria, 20 Indicators, 23 Verifiers)

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
(5) P1 Operator Legality (including Land)	O5-C1.2: Operator's obligations in relation to possession, processing, and trade of timber.	O5-I1.2.1: An operator conducting on a registered forest plantation must hold relevant permits	O5-V.1.2.1.1: Manufacturing permit for processing Timber from registered forest plantation	Forest Plantation Act, 1992 and amended Section 10, 10/1	<p>Verifier Issued by O5-V1.2.1.1: Registrar of Plantations</p> <p>Verification Process Conducted by: Competence Officer (including Provincial Natural Resources and Environment Officer, Local Forest Resource Management Officer, RFD Officer).</p> <p>Verification Frequency:³</p>
		<p>Description of Verification Process:⁴</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Documentary review [?]. 2. Inspection of processing facilities [?]. 			

³ Currently awaiting clarity (to be outlined in forthcoming Regulation on Criteria, Method and Condition for Issuing permit for Transformation of Plantation Timber)

⁴ The laws regulating Transformation of Timber permits are currently being drafted and the nature of the final process is unclear at the time of writing.

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
		O5-I1.2.2: An operator performs processing/ transforming any timber from imported timber/plantation/grown timber in every species holding an RFD permit for timber transformation/ product	O5- V1.2.2.1: RFD establishing Transformation of Timber permit and/or product permit.	Forestry Act, 1941 Section 48,50,51	<p>Verifier Issued by O5-V1.2.2.1: In Bangkok Province, by the DG of RFD. Out of Bangkok, the Provincial Governor.</p> <p>Verification Process Conducted by: Competence Officer (including Provincial Natural Resources and Environment Officer, Local Forest Resource Management Officer, RFD Office)</p> <p>Verification Frequency: At least Annually</p>
<p>Description of Verification Process: In order to be issued an RFD establishing Transformation of Timber and /or product permit, an operator must apply either to the DG of RFD (if in Bangkok province) or a Chief District Officer (if outside Bangkok). Permits must be renewed annually, and upon receiving an application for renewal, the Competence Officer will verify that the operator held a permit for the previous period and timber account. Competence Officers also verify that operators hold permits in the course of ad hoc visits by Competence Officers to timber transformation/manufacturing sites.</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Documentary review 					

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
		2. Site visit			
(5) P2 Sourcing and transportati on of Timber	O5-C2.2 Traceability (registered forest plantation)	O5-I2.2.1: An operator operating on a registered forest plantation must hold relevant permits	O5-V.2.2.1.1: Manufacturing permit for processing Timber from registered forest plantation	Forest Plantation Act, 1992 and amended Section 10, 10/1	Verifier Issued by O5-V2.2.1.1: Registrar of Plantations Verification Process Conducted by: Competence Officer (including Provincial Natural Resources and Environment Officer, Local Forest Resource Management Officer, RFD Officer). Verification Frequency: ⁵
		Description of Verification Process: ⁶ Verification means: <ol style="list-style-type: none"> 1. Documentary review [?]. 2. Inspection of processing facilities [?]. 			

⁵ Currently awaiting clarity (to be outlined in forthcoming Regulation on Criteria, Method and Condition for Issuing permit for Transformation of Plantation Timber)

⁶ The laws regulating Transformation of Timber permits are currently being drafted and the nature of the final process is unclear at the time of writing.

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
		O5-I2.2.2: A person transporting timber out of the plantation from which it was sourced holds the evidence of rightful acquisition.	O5-V2.2.2.1: Acknowledged letter of Timber account from registered forest plantation (Sor Por 15) O5-V2.2.2.2: Stamp, imprint, seal or identification the owner	Forest Plantation Act , 1992 Section 13, 21	<p>Verifier Issued by O5-V2.2.2.1: Operator (plantation doer)</p> <p>O5-V2.2.2.2: Stamp, imprint, seal or identification the owner issued by plantation owner</p> <p>Verification Process Conducted by: Competence Officer (including Provincial Natural Resources and Environment Officer, Local Forest Resource Management Officer, RFD Officer)</p> <p>Verification Frequency: per shipment</p>
		<p>Description of Verification Process: A transportation operator must ensure that plantation timber being transported has been stamped with the seal of the Licensed Entrepreneur from whom the timber was sourced. The transportation operator must also ensure that he or she has the Acknowledged Certificate of harvesting and the Plantation Timber Register prepared by the Licensed Entrepreneur which records the transfer of the timber to the transferee. Competence Officer must verify this documentation at every</p>			

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
		<p>RFD station through which the timber passes. Competence Officer will randomly verify the timber shipment from registered forest plantation by considering the acknowledged certificate (Sor Por 13) along with the stamp, imprint, or seal showing its owner and the acknowledged letter of Timber account (Sor Por 15) recording the transfer of the timber to the transferee.</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Documentary review 2. Inspection of shipment 			
	O5-C2.3 Traceability (non-registered forest plantation)	O5-I2.3.1: An operator has complied with Ministerial Regulations, Rules and Announcements for preparing a Timber Register.	O5-V2.3.1.1:-Evidences of timber acquisition such as Transportation Certificate (S.P.15), Transforming timber certificate, Rubber certificate, recording in these account: - Log account of Processing sawmill - Transformed timber account of Processing sawmill	Ministerial Regulation No. 25 (1976) issued under Forestry Act, 1941 Clause 6,7	<p>Verifier Issued by O5-V2.3.1.1: Processing Operator</p> <p>Verification Process Conducted by: Competence Officer (including Provincial Natural Resources and Environment Officer, Local Forest Resource Management Officer, and RFD Officer).</p> <p>Verification Frequency: At least annually (upon renewal of permit).</p>

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
			- Log and Transformed timber record of Processing sawmill		
		<p>Description of Verification Process: In order to comply with the Ministerial Regulations and requirements for providing and maintaining a timber account, an operator must enter details of timber coming to factory including volume of coming shipments, volume of transformed timber, volume of timber held in stock, and volume of timber distributed elsewhere. The operator's timber account is verified by Competence Officers annually upon renewal of the operator's permit(s) for Timber Transformation/Manufacturing, and also verified in the course of ad hoc visits by Competence Officers to timber transformation/manufacturing sites.</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Documentary review 2. Site visit 			
		O5-I2.3.2: A person transporting timber outside of the plantation from which it was sourced holds the evident of rightfully acquisition.	<p>O5-V2.3.2.1: Acknowledged Certificate from the Registrar of Plantations (Sor Por 13)</p> <p>O5-V2.3.2.2: Acknowledged letter of Timber account from</p>	Forest Plantation Act, 1992 Section 13, 21	<p>Verifier Issued by</p> <p>O5-V2.3.2.1: Chief District Officers at local plantations are located or Director of Private Reforestation Division for Bangkok.</p> <p>O5-V2.3.2.2: Operator (Plantation doer)</p>

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
			registered forest plantation (Sor Por 15)		<p>Verification Process Conducted by: Competence Officer (including Provincial Natural Resources and Environment Officer, Local Forest Resource Management Officer, RFD Officer).</p> <p>Verification Frequency: per shipment</p> <p>Description of Verification Process: Competence Officer will randomly verify the timber shipment from registered forest plantation by considering the Acknowledged Certificate (Sor Por 13) along with the stamp, imprint, or seal showing its owner and the Acknowledged Letter of Timber account (Sor Por 15) recording the transfer of the timber to the transferee.</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Documentary review 2. Inspection of shipment
(5) P3 Operator's Forest Management, Harvesting	O5-C3.4 Chainsaw regulation	O5-I3.4.1: An operator has a chainsaw possessing permit and chainsaw utilizing permit by law	O5-V3.4.1.1: 1. Chainsaw possessing permit (Lor Zor 3)	Chainsaw Act, 2002 Section 4, Ministerial regulation on characteristic of chainsaw and	Verifier Issued by O5-V3.4.1.1: Chainsaw Registrar (DG of RFD or a person assigned by DG in Bangkok and Provincial

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
Obligations and Practices			2. Chainsaw importing for trade permit (Lor Zor 7) combined with accounts and records that show units manufactured under attached record Lor Zor 7/1, record Lor Zor 7/1 A, record Lor Zor 7/2 and record Lor Zor 7/2 C	<p>chainsaw composition, 2012 Clause 2</p> <p>Ministerial regulation on principle, method, and condition; possessing, producing, or importing chainsaw permission and license, and adjusting chainsaw to increase its power including the qualification and disqualification of applicant, 2008, Clause 1,2,5</p>	<p>Governor or a person assigned by Provincial Governor in other provinces.</p> <p>Verification Process Conducted by: Competence Officer under Electrical Saw Act, 2002 (RFD, DNP, DMCR, Ministry of Interior, Ministry of Defense, Royal Thai Police, Bangkok Metropolitan Administration, etc.)</p> <p>Verification Frequency: A authorized Competence Officer can verify chainsaw permit anytime.</p>
			<p>Description of Verification Process:</p> <ol style="list-style-type: none"> 1. Verify the mark on chainsaw which must be the same as permit 2. Verify the number on chainsaw which must be the same as permit 3. Verify the area being used chainsaw which must be in the area identified on permit. 4. Verify the duplicate of permit whether has possessing chainsaw permit. 		

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
		Verification means: <ol style="list-style-type: none"> 1. Chainsaw inspection 2. Documentary review 			
(5) P4 Operator's workplace and Social Obligations (including Worker's Rights, Health, Safety & Welfare)	O5-C4.1 Worker's rights	O5-I4.1.1 An operator who employs 10 or more employees will have submitted work rules covering the areas specified in the Labour Protection Act, to the Department of Labour Protection and Welfare.	O5-V4.1.1.1: Letter of Acknowledgement from the Department of Labour Protection and Welfare.	Labour Protection Act, 1998 Section 108	Verifier Issued by O5-V4.1.1.1: Department of Labour Protection and Welfare Verification Process Conducted by: Department of Labour Protection and Welfare Verification Frequency: per issue or variation of Work Rules
		Description of Verification Process: An operator employing 10 or more employees must submit the work rules to the Department of Labour Protection and Welfare (DLPW). A DLPW Officer then verifies the work rules and issues a Letter of Acknowledgement to the operator. Whenever the work rules are varied, the operator must forward the varied Work Rules to the DLPW for verification and acknowledgement. Verification means: <ol style="list-style-type: none"> 1. Documentary review 			

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
		O5-I4.1.2: An operator employing 10 or more employees must comply with provisions of Labour Protection Act.	O5-V4.1.2.1: Working conditions and workplace annual report	Labour Protection Act, 1998 Section 112,113,114,115	<p>Verifier Issued by O5-V4.1.2.1: Operator</p> <p>Verification Process Conducted by: Department of Labour Protection and Welfare</p> <p>Verification Frequency: Annually</p>
		<p>Description of Verification Process: An operator employing 10 or more employees prepares a Working Conditions and Workplace Annual report and submits electronically via the Department of Labour Protection and Welfare website. A Department of Labour Protection and Welfare Officer verifies that the report has been submitted. In case that the officer has verified and suspected that the document is false, the officer has authority to go into an enterprise, send the letter of inquiry, or summon to clarify the facts, or send a written order for the employer to follow correctly!</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Documentary review 			

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
		<p>O5-I4.1.3: A complaint against an operator (concerning an employee's claim to a sum of money) recorded by the Ministry of Labour will have been processed in accordance with the procedures outlined in the Labour Protection Act.</p>	<p>O5-V4.1.3.1: Order issued by Inspector of Labour</p>	<p>Labour Protection Act, 1998 123,124</p>	<p>Verifier Issued by O5-V4.1.3.1: Inspector of Labour</p> <p>Verification Process Conducted by: Inspector of Labour</p> <p>Verification Frequency: per complaint</p>
<p>Description of Verification Process: An employee making a complaint against an operator (concerning an employee's claim to a sum of money) submits the complaint to the Inspector of Labour. An Inspector of Labour considers the complaint and may contact the employee and/or the operator requesting further information. The Inspector of Labour is required to issue an Order on the matter within 60 days (be able to be extended until not more than 90 days) of receiving the complaint. (Note: The TLAS may need to verify that Inspector of Labour Orders have been issued within the set time-limits.)</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Documentary review 2. Additional information as required 					

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
		O5-I4.1.4: A case lodged with the Labour Court concerning workplace conditions will have been processed in accordance with procedures outlined in the Labour Courts and Procedure Act.	O5-V4.1.4.1: Labour Court Decision.	The Establishment of Labour Court and Procedure Act, 1979 Section 8, 53	Verifier Issued by O5-V4.1.4.1: Labour Court Verification Process Conducted by: Labour Court Verification Frequency: per case
<p>Description of Verification Process: A case lodged with the Labour Court concerning workplace conditions follows a prescribed course potentially leading to a trial, including procedures for promoting reconciliation at an initial stage where is possible and procedures for investigating a case before making a decision about whether the case will go to court. Various Sections of the Establishment of Labour Court and Procedure Act, 1979 (including Section 37 and Section 39) refer to the importance of cases being processed promptly; however, few specified time-frames are stated. Nonetheless, the Labour Court provisions are a key component of the Thai legal framework regulating worker’s rights.</p> <p>(Note: The TLAS may need to verify that Labour Court Decisions have been delivered within reasonable time-frames.)</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Documentary review 2. Additional information as required 					

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
	O5-C4.2 Child labour	O5-I4.2.1: The operator employs no children under the age of 15 years.	O5-V4.2.1.1: Report of the Inspector of Labour	Labour Protection Act, 1998 Section 44, 112	<p>Verifier Issued by O5-V4.2.1.1: Inspector of Labour</p> <p>Verification Process Conducted by: Inspector of Labour</p> <p>Verification Frequency: Ad hoc (as determined by Department of Labour Protection and Welfare inspection schedule) or as per complaint</p>
		<p>Description of Verification Process: The Inspector of Labour inspects a number of businesses every year, with the selection of target business based on size of the business, the type of business, and estimated risk of irregularities occurring. Additionally, the Inspector of Labour inspects businesses based on complaints received. Upon investigating a business, the Inspector of Labour prepares a report assessing and potentially verifying compliance with the provisions of the Labour Protection Act, 1998.</p> <p>Verification means:</p> <ol style="list-style-type: none"> 3. Inspection on site 4. Documentary review 			

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
		<p>O5-I4.2.2: An operator employing children between the age of 15 and 18 will have complied with Chapter 4 of the Labour Protection Act on the employment of children under the age of 18.</p>	<p>O5-V4.2.2.1: Letter of Acknowledgement from the Department of Labour Protection and Welfare.</p>	<p>Labour Protection Act, 1998 Section 45</p>	<p>Verifier Issued by O5-V4.2.2.1: Department of Labour Protection and Welfare</p> <p>Verification Process Conducted by: Inspector of Labour</p> <p>Verification Frequency: Ad hoc (as determined by Department of Labour Protection and Welfare inspection schedule) or as per complaint</p>
		<p>Description of Verification Process: An operator employing children between the age of 15 and 18 must notify the Department of Labour Protection and Welfare within 15 days after employment. In response to this notification by the operator, the Department of Labour Protection and Welfare must issue a Letter of Acknowledgement, which the operator must keep on-site.</p>			
		<p>The Inspector of Labour inspects a number of business every year, with the selection of target business based on size of the business, the type of business, and estimated risk of irregularities occurring. Additionally, the Inspector of Labour inspects businesses based on complaints received. Upon investigating a business, the Inspector of Labour prepares a report assessing and potentially verifying compliance with the provisions of the Labour Protection Act.</p>			

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
		<p>Verification means:</p> <ol style="list-style-type: none"> 1. Inspection on site 2. Documentary review 			
	O5-C4.3 Alien workers	O5-I4.3.1: An operator has complied with the requirements of the Alien Working Act.	O5-V4.3.1.1: Work permit	Alien Working Act, 2008 Section 7,9,11	<p>Verifier Issued by O5-V4.3.1.1: Alien Working Committee</p> <p>Verification Process Conducted by: Competence Officer empowered under the Alien Working Act, 2008</p> <p>Verification Frequency: Ad hoc.</p>
	<p>Description of Verification Process: An operator employing alien workers must ensure that the alien workers hold work permits. As outlined under C4.2 above, the Inspector of Labour is responsible for monitoring and regulating employment conditions. In the event of identifying or suspecting the illegal employment of alien workers, the Inspector of Labour notifies the Competence Officer, who is responsible for regulation and enforcement aspects. The Competence Officer may also act on a complaint received from any other source.</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Site inspection 				

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
		2. Documentary review			
	O5-C4.4 Workplace health and safety	O5-I4.4.1: An operator will have provided the Ministry of Labour a Document or Report substantiating compliance with Occupational Safety, Health, and Environment (OSHE) Act requirements.	O5-V4.4.1.1: OSHE Document or Report signed by Licensed Person	Occupational Safety, Health and Environment Act, 2011 Section 8, 9, 11	<p>Verifier Issued by O5-V4.4.1.1: Operator being responsible for preparing the OSHE report, which must be signed by a Licensed Person</p> <p>Verification Process Conducted by: Department of Labour Protection and Social Welfare</p> <p>Verification Frequency: Ad hoc</p>
		<p>Description of Verification Process: The operator is responsible for preparing an OSHE report, which must be signed by a Licensed Person (the person registered under Labor Safety Bureau of Department of Labour Protection and Social Welfare section 9, 11 of Occupational Safety, Health and Environment Act, 2011), then submitted to the Department of Labour Protection and Social Welfare (DLPSW) for verification by a Department of Labour Protection and Social Welfare Officer.</p> <p>Verification means: 1. Documentary review</p>			

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
(5) P5 Operator's Tax, Fee & Other Payment Obligations	O5-C5.1 Operator's obligations: Forest sector royalties and other payments. (non-registered forest plantation)	O5-I5.1.1: An operator has paid the annual fee for an RFD Transformation/manufacturing of Timber permit (as appropriate to class of permit) in accordance with the fee rates outlined in the Forestry Act.	O5-V5.1.1.1: RFD receipt/Local Authorization receipt	Forestry Act, 1941 Section 75 Ministerial Regulations No. 23 (1975) issued under Forestry Act, 1941 on fee rate	Verifier Issued by O5-V5.1.1.1: Competence Officer (including Provincial Natural Resources and Environment Officer, Local Forest Resource Management Officer, RFD Officer) Verification Process Conducted by: Competence Officer (including Provincial Natural Resources and Environment Officer, Local Forest Resource Management Officer, RFD Officer) Verification Frequency: Annually
		Description of Verification Process: The operator pays RFD establishing Transformation of Timber permit and/or Manufacturing permit fees when applying for a RFD Transformation of Timber permit and/or Manufacturing permit. A Competence Officer issues a receipt for the RFD establishing Transformation of Timber permit and/or Manufacturing permit and issue a written permit after the payment.			

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
		Verification means: 1. Documentary review			
		O5-I5.1.2: The operator has paid transportation permit fees in accordance with the fee rates outlined in the Forestry Act.	O5-V5.1.2.1: RFD receipt	Forestry Act, 1941 Section 75 Ministerial Regulations No. 23 (1975) issued under Forestry Act, 1941 on fee rate	Verifier Issued by O5-V5.1.2.1: Competence Officer (including Provincial Natural Resources and Environment Officer, Local Forest Resource Management Officer, RFD Officer). Verification Process Conducted by: Competence Officer (including Provincial Natural Resources and Environment Officer, Local Forest Resource Management Officer, RFD Officer). Verification Frequency: per permit

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
		<p>Description of Verification Process: An operator must pay a fee in order to be issued a Transportation of Timber permit. This permit is only issued after Competence Officer receives the fee. The existence of the Transportation of Timber permit (therefore also verifying the payment of the related fee) is verified by Competence Officer at all RFD checkpoints where timber shipments pass.</p> <p>Verification means: Documentary review</p>			
(5) P6 Operator's Forest Management, Environment	O5-C6.2 Hazardous Substances	O5-I6.2.1: An operator who holds a Department of Industrial Works Hazardous Substance Possession permit has complied with the requirements of the Department of Industrial Works Hazardous Substance Handbook.	O5-V6.2.1.1: Hazardous Substances Annual Report	<p>Hazardous Substance Act, 1992 Clause 16</p> <p>Announcement of Ministry of Industry on Storage Hazardous Substance, 2008</p> <p>Announcement of the Department of Industry Works on Storage Hazardous Substance Handbook, 2007</p>	<p>Verifier Issued by O5-V6.2.1.1: Operator</p> <p>Verification Process Conducted by: Department of Industrial Works (DIW) Officer</p> <p>Verification Frequency: Annually</p>
		<p>Description of Verification Process: Operators in possession of a DIW Hazardous Substance Possession permit must electronically submit an Annual Hazardous Substance report to the DIW via the DIW website. The submission of the Annual Report is verified by a DIW Officer.</p> <p>Verification means:</p>			

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
		1. Documentary review			
	O5-C6.3 The mitigation of environmental impact	O5-I6.3.1: A factory licensed with the Ministry of Industry to produce more than 50 tons per day of paper, cardboard, or paper fibre used for the production of construction materials, complies with Department of Industry and Works effluent and emissions regulations.	O5-V6.3.1.1: Six-monthly air and water pollution reports to the Department of Industry and Works.	Announcement of Ministry of Industry on preparing report on types and volume of pollutants emitted from the plant, 2015 Clause 5, 13	Verifier Issued by O5-V6.3.1.1: Operator Verification Process Conducted by: Department of Industrial Works (DIW) Officer Verification Frequency: Six-monthly
		<p>Description of Verification Process: Operators licensed to produce more than 50 tons per day of paper, cardboard, or paper fibre must electronically submit six-monthly air and water pollution reports to the DIW via the DIW website. The receipt of these reports is verified by a DIW Officer.</p> <p>Verification means:</p> <p>1. Documentary review</p>			

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
		O5-16.3.2: A factory licensed with the Ministry of Industry to produce more than 50 tons of pulp per day has complied with Office of Natural Resources and Environmental Policy and Planning (ONEP) Environmental Impact Assessment (EIA) requirements.	<p>O5-V6.3.2.1: EIA prepared by a Licensed Firm</p> <p>O5-V6.3.2.2: Periodic monitoring report, following EIA</p>	<p>The Enhancement and Conservation of National Environmental Quality Act, 1992 Section 44</p> <p>Announcement of Ministry of Natural Resources and Environment on setting the type and size of the project or activity must prepare a report on the environmental impact assessment regulations and procedures and guidelines for the preparation of the environmental impact assessment Clause 4</p> <p>Regulation No. 2 (1984) issued under the Enhancement and Conservation of National Environmental Quality Act, 1975</p>	<p>Verifier Issued by O5-V6.3.2.1: Licensed firm</p> <p>O5-V6.3.2.2: Operator licensed to do EIA</p> <p>Verification Process Conducted by: Office of Natural Resources and Environmental Policy and Planning (ONEP)</p> <p>Verification Frequency O5-V6.3.2.1: Prior to commencement of operation</p> <p>O5-V6.3.2.2: Six monthly.</p>

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
				Clause 3,4	<p>Description of Verification Process: In order for an Operator to be issued a license to produce more than 50 tons of pulp per day, the operator must commission a Licensed Firm to prepare an EIA, and the EIA must be verified and approved by the Office of Natural Resources and Environmental Policy and Planning (ONEP) before the operator is permitted to produce more than 50 tons of pulp per day. At six-monthly intervals, the operator must also electronically submit a periodic Monitoring Report to ONEP via the ONEP website. This monitoring Report must be verified by an ONEP Officer.</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Documentary review

Operator 6 (O6): Export and Trade Operators (4 Principles, 7 Criteria, 11 Indicators, 15 Verifiers)

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
(6) P1 Operator Legality (including Land)	O6-C1.2: Operator's obligations in relation to possession, processing, and trade of timber.	O6-I1.2.1: An operator engaged in the trading of transformed timber and/or products manufactured from timber holds all necessary RFD Trading Place Permits.	O6-V1.2.1.1: For operators trading in transformed timber, an RFD Trading Place Permit for Transformed Timber is needed.	Forestry Act, 1941 Section 48, 53 Ministerial Regulation No. 25 (1976) issued under Forestry Act, 1941 on transformed process and having transformed timber Clause 8 Ministerial Regulation No. 27 (1987) issued under Forestry Act, 1941 on trade or possession for commercial purposes, product, or any of those made from restricted species Clause 6 Regulation No. 11, 1972, issued under Forestry Act, 1941 on the control of the sawmills, using wood	Verifier Issued by O6-V1.2.1.1: In Bangkok Province, by the Director General of the RFD. Outside of Bangkok, by the Provincial Governor. O6-V1.2.1.2: Verification Process Conducted by: Competence Officer (including Provincial Natural Resources and Environment Officer, Local Forest Resource Management Officer, RFD Officer) Verification Frequency: At least annually (upon renewal of permit).
			O6-V1.2.1.2: For operators trading in products manufactured from timber, an RFD Trading Place Permit for Timber Products is needed.		

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
				<p>processing machinery Clause 9</p> <p>Forest Plantation Act, 1992 Section 10, 21</p>	<p>Description of Verification Process: In order to be issued an RFD establishing Transformation of Timber and/or Manufacturing permit, an operator must apply either to the DG of RFD (if in Bangkok province) or a Chief District Officer (if outside Bangkok). Permits must be renewed annually, and upon receiving an application for renewal, the Competence Officer will verify that the operator held a permit for the previous period and timber account. Competence Officers also verify that operators hold permits in the course of ad hoc visits by Competence Officers to timber transformation/manufacturing. sites.</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Documentary review 2. Site visit

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
		O6-I1.2.2: A Licensed Trader has issued a Confirmation Letter of Transformed Timber/ Transformed Rubber Timber or Timber Products for every shipment of timber and retained stub of same.	O6-V1.2.2.1: the stub of Transformed Timber / Transformed Rubber Timber or Timber Products Confirmation Letter O6-V1.2.2.2: Transformed Timber Account/ product account	Ministerial Regulation No. 25 (1976) issued under Forestry Act, 1941 on transformed process and having transformed timber Clause 8 Ministerial Regulation No. 27 (1987) issued under Forestry Act, 1941 on trade or possession for commercial purposes, product, or any of those made from restricted species Clause 6 Regulation no. 11, 1972, issued under Forestry Act, 1941 on the control of the sawmills, using wood processing machinery Clause 9	Verifier Issued by O6-V1.2.2.1-2: Operator Verification Process Conducted by: Competence Officer (including Provincial Natural Resources and Environment Officer, Local Forest Resource Management Officer, RFD Officer) Verification Frequency O6-V1.2.2.1: Per shipment of transformed timber /timber product O6-V1.2.2.2: At least annually (upon renewal of permit).

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
		<p>Description of Verification Process:</p> <p>In case of establishing transformed timber trading place permitted person: the permitted person must show timber account coming and outgoing following the form determined by RFD DG. The account is maintained at transformed timber trading place to be available for Competence Officer to verify and the permitted person must always enter the timber account truly and currently. When there are distribution or transportation of transformed timber from transformed timber trading place, the permitted person must issue a Confirmation Letter of transformed timber following the form determined by RFD DG to transferee or the transporting person in every transportation. The permitted person shall have the duplicate or the stub of the Confirmation Letter of transformed timber to show to Competence Officer to verify as well.</p> <p>In case of trading or possessing for trade permitted person on product, tool, or those made by restricted timber: the permitted person must provide timber account coming and outgoing, product, tool, or those made by restricted timber transportation which was imported, distributed, or transported, and statistic account following the form determined by RFD DG. This account is maintained at trading place or possessing place for trade as defined on the written permit depending on the case at least 2 years since the issue date or trading place or possessing place for trade as the case may be so that Competence Officer can verify. The permitted person must issue a Confirmation Letter of product, tool, or those made by restricted timber to transferee or the transporting person in every transportation. The permitted person shall have the copy or the stub of the Confirmation Letter of those to show Competence Officer in order to verify as well.</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Documentary review 2. Transformed timber trading place/Product trading place site visit 			

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
	O6-C1.3: Operator's right to export timber	O6-I1.3.1: An operator exporting round logs, sawn timber or charcoal derived from any species holds a Department of Foreign Trade Export Permit.	O6-V1.3.1.1: Department of Foreign Trade Export Permit	<p>Announcement of Ministry of Commerce on the Charcoal is permitted to export to outside the Kingdom, 2006 Clause 4</p> <p>Rule of Ministry of Commerce on the documentation and evidence to apply for charcoal exporting permit, 2006 Clause 3</p> <p>Announcement of Ministry of Commerce on the timber is permitted to export to outside the Kingdom, 2012 Clause 3,4</p> <p>Rule of Ministry of Commerce on criteria, methods and conditions for exporting of timber</p>	<p>Verifier Issued by O6-V1.3.1.1: DG of Department of Foreign Trade or a person authorized by DG of Department of Foreign Trade</p> <p>Verification Process Conducted by: O6-V1.3.1.1: Customs Officer</p> <p>Verification Frequency: O6-V1.3.1.1: per shipment</p>

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
				<p>and transformed timber to outside the kingdom, 2006 Clause 3 Announcement of National Council for Peace and Order No. 106/2557 on amendment of Forestry Laws</p>	
		<p>Description of Verification Process: In order to export round logs, sawn timber or charcoal derived from any species, an operator must apply to the DG of Foreign Trade Department for a DFT Trade Export permit. The existence of this permit is verified by a DFT Officer at the port.</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Documentary review 			
		O6-I1.3.2: For exporting species under CITES, an operator must be permitted by related legislation	O6-V1.3.2.1: Conservative species exporting permit	Plants Act, 1975	<p>Verifier Issued by O6-V1.3.2.1: Exporting permit issued by DG of DOA</p> <p>Verification Process Conducted by O6-V1.3.2.1: Thai Customs Department</p> <p>Verification Frequency: per export</p>
		<p>Description of Verification Process:</p> <p>Verification means:</p>			

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
(6) P2 Sourcing and transportati on of Timber	O6-C2.2 Traceability (registered forest plantation)	O6-I2.2.1: An operator in possession of timber sourced from a plantation possesses evidence of legal acquisition.	O6-V2.2.1.1: Certificated letter from RFD	Rule of RFD on Certificate timber, timber product and charcoal for exportation, 2009	Verifier Issued by O6-V2.2.1.1: Issued by DG of RFD or a person authorized by DG of RFD
			O6-V2.2.1.2: Acknowledged Certificate from the Registrar of Plantations (Sor Por 13) along with Acknowledged Letter of Timber account from registered forest plantation (Sor Por 15)		O6-V2.2.1.2: - Sor Pr 13 issued by Chief District Officers at local plantations are located or Director of Private Reforestation Division for Bangkok.
		Description of Verification Process: An operator in possession of timber sourced from a plantation must hold evidence of legal acquisition on the form of the Plantation Register of the Licensed Entrepreneur from whom the timber was sourced, and in which the transfer of the timber to the transferee is			

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
		<p>recorded. This documentation is verified at the RFD checkpoint at the port and at any other RFD checkpoints where the shipment passes.</p> <p>Description of Verification Process:</p> <ol style="list-style-type: none"> 1. Documentary review 2. Inspection of shipment 			
		<p>O6-I2.2.2: A person transporting timber out of the plantation from which it was sourced holds the evidence of rightful acquisition.</p>	<p>O6-V2.2.2.1: Acknowledged Certificate from the Registrar of Plantations (Sor Por 13)</p> <p>O6-V2.2.2.2: Acknowledged Letter of Timber account from registered forest plantation (Sor Por 15)</p>	<p>Forest Plantation Act , 1992 Section 13, 21</p>	<p>Verifier Issued by O6-V2.2.2.1: Chief District Officers at local plantations are located or Director of Private Reforestation Division for Bangkok.</p> <p>O6-V2.2.2.2: Operator</p> <p>Verification Process Conducted by: Competence Officer (including Provincial Natural Resources and Environment Officer, Local Forest Resource Management Officer, RFD Office)</p>

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
					<p>Verification Frequency: per shipment</p> <p>Description of Verification Process: Competence Officer will randomly verify the timber shipment from registered forest plantation by considering the acknowledged certificate (Sor Por 13) along with the stamp, imprint, or seal showing its owner and the acknowledged letter of Timber account (Sor Por 15) recording the transfer of the timber to the transferee.</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Documentary review 2. Inspection of shipment
	O6-C2.3 Traceability (non-registered forest plantation)	O6-I2.3.1: An operator has complied with Ministerial Regulations, Rules and Announcements for preparing a Timber account.	O6-V2.3.1.1: Transportation permit, Transformed Timber / Transformed Rubber Timber or Timber Products Confirmation Letter	<p>Ministerial Regulation No. 25 (1976) issued under Forestry Act, 1941 on transformed process and having transformed timber Clause 6, 7</p> <p>Ministerial Regulation No. 27 (1987) issued under Forestry Act, 1941 on trade or possession for commercial purposes, product, or any of</p>	<p>Verifier Issued by O6-V2.3.1.1: Export/Trade Operator.</p> <p>Verification Process Conducted by: Competence Officer (including Provincial Natural Resources and Environment Officer; Local Forest Resource Management Officer; RFD Officer).</p> <p>Verification Frequency:</p>

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
				<p>those made from restricted species. Clause 5</p> <p>LEGAL REFERENCE NEEDS TO BE DEVELOPED. SEE GAP/ISSUES PAPERS TOPICS 3</p> <p>Ministerial Regulation No. 26 issued under Forestry Act, 1941 Section 39, 40, 48, 53 tres</p> <p>Rule/Announcement of Commerce</p> <p>Rule on Certificate Letter of timber</p>	<p>Annually (upon renewal of permit).</p>
<p>Description of Verification Process: In order to comply with the requirements for maintaining a timber register, an operator must enter details of transformed timber and/or products manufactured from timber flow including volume of incoming shipments, volume of timber held in stock, and volume of timber distributed elsewhere. The operator's timber register is verified by Competence Officers annually upon renewal of the operator's RFD Trading Place Permit for Transformed Timber and /or RFD Trading Place Permit for</p>					

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
		<p>Products Manufactured from Timber, and also verified in the course of ad hoc visits by Competence Officers to timber transformation/manufacturing sites.</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Documentary review 2. Site visit 			
		<p>O6-I2.3.2: A person transporting timber holds transportation documentation.</p>	<p>O6-V2.3.2.1: An RFD Transportation Certificate for round logs and transformed timber, or a Confirmation Letter of Transformed Timber or Timber Products/ a Confirmation Letter of Transformed Rubber Timber or Timber Products</p>	<p>Forestry Act, 1941 Section 38,39,40, 53 Ministerial Regulation No. 25 (1976) issued under Forestry Act, 1941 on transformed process and having transformed timber Clause 8 Ministerial Regulation No. 26 (1985) issued under the Forestry Act, 1941 on moving of timber or forest product Clause 2,5 Ministerial Regulation No. 27 (1987) issued</p>	<p>Verifier Issued by O6-V2.3.2.1: In the case of RFD Transportation Certificate, RFD Officer. In the case of Confirmation Letter of Transformed Timber or Timber Products/ a Confirmation Letter of Transformed Rubber Timber or Timber Products, Processing Operator</p> <p>Verification Process Conducted by: Competence Officer (including Provincial Natural Resources and Environment Officer, Local Forest Resource Management Officer, RFD</p>

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
				<p>under Forestry Act, 1941 on trade or possession for commercial purposes, product, or any of those made from restricted species. Clause 6</p> <p>Regulation No. 11, 1972, issued under Forestry Act, 1941 on the control of the sawmills, using wood processing machinery Clause 9</p>	<p>Officer).</p> <p>Verification Frequency: per shipment</p> <p>Description of Verification Process: An operator who wishes to transport timber must either apply for an RFD Transportation Certificate, or prepare a Confirmation Letter of Transformed Timber or Timber Products/ a Confirmation Letter of Transformed Rubber Timber or Timber Products. A Competence Officer will verify the transportation documentation at every RFD checkpoint where the timber passes.</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Documentary review 2. Inspection of shipment

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
(6) P4 Operator's workplace and Social Obligations (including Worker's Rights, Health, Safety & Welfare)	O6-C4.3 Alien workers	O6-I4.3.1: An operator has complied with the requirements of the Alien Working Act.	O6-V4.3.1.1: Work permit	Alien Working Act, 2008 Section 7,9,11	<p>Verifier Issued by O6-V4.3.1.1: Alien Working Committee</p> <p>Verification Process Conducted by: Competence Officer empowered under the Alien Working Act</p> <p>Verification Frequency: Ad hoc</p>
		<p>Description of Verification Process: An operator employing alien workers must ensure that the alien workers hold work permits. As outlined under C4.2 above; the Inspector of Labour is responsible for monitoring and regulating employment conditions. In the event of identifying or suspecting the illegal employment of alien workers, the Inspector of Labour notifies the Competence Officer, who is responsible for regulation and enforcement aspects. The Competence Officer may also act on a complaint received from any other source.</p> <p>Verification means:</p> <ol style="list-style-type: none"> 1. Site inspection 2. Documentary review 			

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
(6) P5 Operator's Tax, Fee & Other Payment Obligations	O6-C5.1 Operator's obligations: Forest sector royalties and other payments. (non-registered forest plantation)	O6-I5.1.1: The operator has paid transportation permit fees in accordance with the fee rates outlined in the Forestry Act.	O6-V5.1.1.1: RFD receipt	Forestry Act, 1941 Section 75 Ministerial Regulations No. 23 (1975) issued under Forestry Act, 1941 on fee rate	<p>Verifier Issued by O6-V5.1.1.1: Competence Officer (including Provincial Natural Resources and Environment Officer, Local Forest Resource Management Officer, RFD Officer).</p> <p>Verification Process Conducted by: Competence Officer (including Provincial Natural Resources and Environment Officer, Local Forest Resource Management Officer, RFD Officer).</p> <p>Verification Frequency: per permit</p>
		<p>Description of Verification Process: An operator must pay a fee in order to be issued a Transportation of Timber permit. This permit is only issued after Competence Officer receives the fee. The existence of the Transportation of Timber permit (therefore also verifying the payment of the related fee) is verified by Competence Officer at all RFD checkpoints where timber shipments pass.</p> <p>Verification means:</p>			

PRINCIPAL	CRITERIA	INDICATOR	VERIFIER	LEGAL REFERENCE	DESCRIPTION OF VERIFICATION PROCESSES, FREQUENCY AND RESPONSIBILITIES
		1. Documentary review			
	O6-C5.2 Operator's obligations: Non Forest sector taxes	O6-I5.2.1: An operator has paid tax on exported timber in accordance with the requirements of the Customs Act and the Customs Tariff Decree.	O6-V5.2.1.1: Tax receipts	Customs Act, 1926 Section 10 The Customs Tariff Decree, 1987 Section 4	<p>Verifier Issued by O6-V5.2.1.1: Customs Department</p> <p>Verification Process Conducted by: Customs Department Officer</p> <p>Verification Frequency: per shipment</p>
		<p>Description of Verification Process: Prior to export a shipment of timber, an operator must pay export tax to the Customs Department, which issues a receipt. This receipt is verified by a Customs Department Officer, prior to issue an Export Permit.</p> <p>Verification means:</p> <p>1. Documentary review.</p>			